

Return of Organization Exempt from Income Tax

OMB No 1545-0047

2001

Department of the Treasury
Internal Revenue ServiceUnder Section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code
(except black lung benefit trust or private foundation)Open to Public
Inspection

The organization may have to use a copy of this return to satisfy state reporting requirements

A For the 2001 calendar year, or tax year beginning 2001, and ending 20

B Check if applicable:

- ☐ Address change
- ☐ Name change
- ☐ Initial return
- ☐ Final return
- ☐ Amended return
- ☐ Application pending

Please use IRS label or print or type. See specific instructions.

KAISER FOUNDATION HEALTH PLAN OF OHIO
ONE KAISER PLAZA, 1550 ORDWAY
OAKLAND, CA 94612

D Employer identification number 34-0922268

E Telephone number 510 271-6385

F Accounting method: ☐ Cash ☒ Accrual
☐ Other (specify) _____

Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ)

G Web site N/A

J Organization type (check only one): ☒ 501(c) 3 (insert no) ☐ 4947(a)(1) or ☐ 527

K Check here ☐ if the organization's gross receipts are normally not more than \$25,000. The organization need not file a return with the IRS, but if the organization received a Form 990 Package in the mail, it should file a return without financial data. Some states require a complete return.

L Gross receipts. Add lines 6b, 8b, 9b, and 10b to line 12: 503,464,006

H and **I** are not applicable to Section 527 organizations.

H (a) Is this a group return for affiliates? ☐ Yes ☒ No

H (b) If 'yes' enter number of affiliates: _____

H (c) Are all affiliates included? ☐ Yes ☐ No
(If no, attach a list. See instructions.)

H (d) Is this a separate return filed by an organization covered by a group ruling? ☐ Yes ☒ No

I Enter 4-digit group GEN: _____

M Check ☐ if the organization is not required to attach Schedule B (Form 990, 990-EZ, or 990-PF)

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (see instructions)

1 Contributions, gifts, grants, and similar amounts received			1d	126,677
a Direct public support	1a	126,677		
b Indirect public support	1b			
c Government contributions (grants)	1c			
d Total (add lines 1a through 1c) (cash \$ 126,677 noncash \$)			1d	126,677
2 Program service revenue including government fees and contracts (from Part VII, line 93)			2	497,789,567
3 Membership dues and assessments			3	
4 Interest on savings and temporary cash investments			4	287,181
5 Dividends and interest from securities			5	
6a Gross rents	6a			
b Less rental expenses	6b			
c Net rental income or (loss) (subtract line 6b from line 6a)			6c	
7 Other investment income (describe _____)			7	
8a Gross amount from sales of assets other than inventory	(A) Securities	(B) Other		
	-133,709	5,378,723	8a	
b Less cost or other basis and sales expenses		4,139,405	8b	
c Gain or (loss) (attach schedule) STMT 16	-133,709	1,239,318	8c	
d Net gain or (loss) (combine line 8c, columns (A) and (B))			8d	1,105,609
9 Special events and activities (attach schedule)				
a Gross revenue (not including \$ _____ of contributions reported on line 1a)	9a			
b Less direct expenses other than fundraising expenses	9b			
c Net income or (loss) from special events (subtract line 9b from line 9a)			9c	
10a Gross sales of inventory, less returns and allowances	10a	15,567		
b Less cost of goods sold	10b	3,931		
c Gross profit or (loss) from sales of inventory (attach schedule) (subtract line 10b from line 10a)			10c	11,636
11 Other revenue (from Part VII, line 103)			11	
12 Total revenue (add lines 1d, 2, 3, 4, 5, 6c, 7, 8d, 9c, 10c, and 11)			12	499,320,670
13 Program services (from line 44, column (B))			13	426,361,778
14 Management and general (from line 44, column (C))			14	64,974,035
15 Fundraising (from line 44, column (D))			15	
16 Payments to affiliates (attach schedule)			16	
17 Total expenses (add lines 16 and 44, column (A))			17	491,335,813
18 Excess or (deficit) for the year (subtract line 17 from line 12)			18	7,984,857
19 Net assets or fund balances at beginning of year (from line 73, column (A))			19	58,158,563
20 Other changes in net assets or fund balances (attach explanation) SEE STATEMENT 2			20	72,996
21 Net assets or fund balances at end of year (combine lines 18, 19, and 20)			21	66,216,416

ENVELOPE
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Part II Statement of Functional Expenses All organizations must complete column (A). Columns (B), (C), and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others.

Do not include amounts reported on line 6b, 8b, 9b, 10b, or 16 of Part I		(A) Total	(B) Program services	(C) Management and general	(D) Fundraising
22 Grants and allocations (att sch) (cash \$ _____ non cash \$ _____)	22				
23 Specific assistance to individuals (att sch)	23				
24 Benefits paid to or for members (att sch)	24				
25 Compensation of officers, directors, etc	25	492,314		492,314	
26 Other salaries and wages	26	67,706,440	52,254,984	15,451,456	
27 Pension plan contributions	27	1,500,477	922,910	577,567	
28 Other employee benefits	28	13,064,235	7,232,018	5,832,217	
29 Payroll taxes	29	5,518,870	4,070,123	1,448,747	
30 Professional fundraising fees	30				
31 Accounting fees	31	355,596		355,596	
32 Legal fees	32	-138,002	20,372	-158,374	
33 Supplies	33	56,406,453	56,268,882	137,571	
34 Telephone	34	84,618	83,457	1,161	
35 Postage and shipping	35	1,038,771	300,492	738,279	
36 Occupancy	36	6,978,920	6,310,623	668,297	
37 Equipment rental and maintenance	37	1,850,152	1,473,229	376,923	
38 Printing and publications	38	989,802	324,523	665,279	
39 Travel	39	1,090,866	289,230	801,636	
40 Conferences, conventions, and meetings	40				
41 Interest	41	2,866,652	2,866,652		
42 Depreciation, depletion, etc (attach schedule)	42	8,230,589	8,025,271	205,318	STMT 17
43 Other expenses not covered above (itemize)					
a SEE STATEMENT 3	43a	323,299,060	285,919,012	37,380,048	
b	43b				
c	43c				
d	43d				
e	43e				
44 Total functional expenses (add lines 22-43) Organizations completing columns (B) - (D), carry these totals to lines 13-15	44	491,335,813	426,361,778	64,974,035	0

Joint Costs Check ☐ if you are following SOP 98-2Are any joint costs from a combined educational campaign and fundraising solicitation reported in (B) Program services? ☐ Yes ☒ No

If 'Yes,' enter (i) the aggregate amount of these joint costs \$ _____, (ii) the amount allocated to program services \$ _____, (iii) the amount allocated to management and general \$ _____, and (iv) the amount allocated to fundraising \$ _____

Part III Statement of Program Service AccomplishmentsWhat is the organization's primary exempt purpose? **SEE STATEMENT 4**

All organizations must describe their exempt purpose achievements in a clear and concise manner. State the number of clients served, publications issued, etc. Discuss achievements that are not measurable. (Section 501(c)(3) & (4) organizations & section 4947(a)(1) nonexempt charitable trusts must also enter the amount of grants & allocations to others.)

Program Service Expenses (Required for 501(c)(3) and (4) organizations and 4947(a)(1) trusts, but optional for others)

a SEE STATEMENT 4		
(Grants and allocations \$ _____)		426,361,778
b		
(Grants and allocations \$ _____)		
c		
(Grants and allocations \$ _____)		
d		
(Grants and allocations \$ _____)		
e Other program services (Grants and allocations \$ _____)		
f Total of Program Service Expenses (should equal line 44, column (B), program services)		426,361,778

Part IV Balance Sheets (See instructions)**Note** Where required, attached schedules and amounts within the description column should be for end-of-year amounts only

		(A) Beginning of year		(B) End of year
ASSETS	45 Cash — non-interest-bearing	1,989,085	45	3,256,430.
	46 Savings and temporary cash investments	402,637	46	
	47a Accounts receivable	156,932,938		
	b Less allowance for doubtful accounts	11,328,468	161,823,758	47c 145,604,470
	48a Pledges receivable			
	b Less allowance for doubtful accounts			48c
	49 Grants receivable		49	
	50 Receivables from officers, directors, trustees, and key employees (attach schedule)		50	
	51a Other notes & loans receivable (attach sch)			
	b Less allowance for doubtful accounts			51c
	52 Inventories for sale or use	6,561,463	52	6,517,426
	53 Prepaid expenses and deferred charges	273,065	53	166,081
	54 Investments — securities (attach schedule) SEE ST 5 <input type="checkbox"/> Cost <input checked="" type="checkbox"/> FMV		54	477,140
	55a Investments — land, buildings, & equipment basis			
	b Less accumulated depreciation (attach schedule)			55c
56 Investments — other (attach schedule)		56		
57a Land, buildings, and equipment basis	145,277,567			
b Less accumulated depreciation STMT 17 (attach schedule) STATEMENT 6	81,878,871	73,143,130	57c 63,398,696	
58 Other assets (describe ► SEE STATEMENT 7)	4,905,697	58	4,455,529	
59 Total assets (add lines 45 through 58) (must equal line 74)	249,098,835	59	223,875,772	
LIABILITIES	60 Accounts payable and accrued expenses	58,902,940	60	57,638,394
	61 Grants payable		61	
	62 Deferred revenue	19,033,609	62	19,840,697
	63 Loans from officers, directors, trustees, and key employees (attach schedule)		63	
	64a Tax-exempt bond liabilities (attach schedule)		64a	
	b Mortgages and other notes payable (attach schedule)	34,782,055	64b	
	65 Other liabilities (describe ► SEE STATEMENT 8)	78,221,668	65	80,180,265
	66 Total liabilities (add lines 60 through 65)	190,940,272	66	157,659,356
NET ASSETS OR FUND BALANCES	Organizations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 67 through 69 and lines 73 and 74			
	67 Unrestricted		67	
	68 Temporarily restricted		68	
	69 Permanently restricted		69	
	Organizations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 70 through 74			
	70 Capital stock, trust principal, or current funds	58,158,563	70	66,216,416
	71 Paid-in or capital surplus, or land, building, and equipment fund		71	
	72 Retained earnings, endowment, accumulated income, or other funds		72	
	73 Total net assets or fund balances (add lines 67 through 69 or lines 70 through 72, column (A) must equal line 19 and column (B) must equal line 21)	58,158,563	73	66,216,416
	74 Total liabilities and net assets/fund balances (add lines 66 and 73)	249,098,835	74	223,875,772

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization. How the public perceives an organization in such cases may be determined by the information presented on its return. Therefore, please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments.

BAA

**Part IV-A Reconciliation of Revenue per Audited
Financial Statements with Revenue
per Return (See instructions)**

a	Total revenue, gains, and other support per audited financial statements	a	499,445,139
b	Amounts included on line a but not on line 12, Form 990		
(1)	Net unrealized gains on investments \$		
(2)	Donated services and use of facilities \$		
(3)	Recoveries of prior year grants \$		
(4)	Other (specify)		
	\$		
	Add amounts on lines (1) through (4)	b	
c	Line a minus line b	c	499,445,139
d	Amounts included on line 12, Form 990 but not on line a		
(1)	Investment expenses not included on line 6b, Form 990 \$		
(2)	Other (specify)		
	SEE STM 9A \$ -124,469		
	Add amounts on lines (1) and (2)	d	-124,469
e	Total revenue per line 12, Form 990 (line c plus line d)	e	499,320,670

Part IV-B:	Reconciliation of Expenses per Audited Financial Statements with Expenses per Return
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a	Total expenses and losses per audited financial statements	a	491,460,282
b	Amounts included on line a but not on line 17, Form 990		
(1)	Donated services and use of facilities \$		
(2)	Prior year adjustments reported on line 20, Form 990 \$		
(3)	Losses reported on line 20, Form 990 \$		
(4)	Other (specify) \$		
	Add amounts on lines (1) through (4)	b	
c	Line a minus line b	c	491,460,282
d	Amounts included on line 17, Form 990 but not on line a		
(1)	Investment expenses not included on line 6b, Form 990 \$		
(2)	Other (specify) \$		
	SEE STMT9B \$ -124,469		
	Add amounts on lines (1) and (2)	d	-124,469
e	Total expenses per line 17, Form 990 (line c plus line d)	e	491,335,813

Part V List of Officers, Directors, Trustees, and Key Employees (List each one even if not compensated, see instructions)

[illegible]

75 Did any officer, director, trustee, or key employee receive aggregate compensation of more than \$100,000 from your organization and all related organizations, of which more than \$10,000 was provided by the related organizations?

If 'Yes,' attach schedule — see instructions

► ☒ Yes

☐ No

SEE STATEMENT 10 & 22

Part VI Other Information (See specific instructions)

	Yes	No
76 Did the organization engage in any activity not previously reported to the IRS? If 'Yes,' attach a detailed description of each activity		X
77 Were any changes made in the organizing or governing documents but not reported to the IRS? STATEMENT 23 If 'Yes,' attach a conformed copy of the changes	X	
78a Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return?	X	
78b If 'Yes,' has it filed a tax return on Form 990-T for this year?	X	
79 Was there a liquidation, dissolution, termination, or substantial contraction during the year? If 'Yes,' attach a statement		X
80a Is the organization related (other than by association with a statewide or nationwide organization) through common membership, governing bodies, trustees, officers, etc., to any other exempt or nonexempt organization?	X	
81 If 'Yes,' enter the name of the organization SEE STATEMENT 11 and check whether it is <input checked="" type="checkbox"/> exempt or <input checked="" type="checkbox"/> nonexempt		
81a Enter direct or indirect political expenditures See line 81 instructions	81a	0
81b Did the organization file Form 1120-POL for this year?		X
82a Did the organization receive donated services or the use of materials, equipment, or facilities at no charge or at substantially less than fair rental value?		X
82b If 'Yes,' you may indicate the value of these items here Do not include this amount as revenue in Part I or as an expense in Part II (See instructions in Part III)	82b	N/A
83a Did the organization comply with the public inspection requirements for returns and exemption applications?	X	
83b Did the organization comply with the disclosure requirements relating to quid pro quo contributions?	N/A	
84a Did the organization solicit any contributions or gifts that were not tax deductible?	N/A	
84b If 'Yes,' did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	N/A	
85 501(c)(4), (5), or (6) organizations a Were substantially all dues nondeductible by members?		
b Did the organization make only in house lobbying expenditures of \$2,000 or less?		
If 'Yes' was answered to either 85a or 85b, do not complete 85c through 85h below unless the organization received a waiver for proxy tax owed for the prior year		
c Dues, assessments, and similar amounts from members	85c	N/A
d Section 162(e) lobbying and political expenditures	85d	N/A
e Aggregate nondeductible amount of Section 6033(e)(1)(A) dues notices	85e	N/A
f Taxable amount of lobbying and political expenditures (line 85d less 85e)	85f	N/A
g Does the organization elect to pay the Section 6033(e) tax on the amount on line 85f?	85g	N/A
h If Section 6033(e)(1)(A) dues notices were sent, does the organization agree to add the amount on line 85f to its reasonable estimate of dues allocable to nondeductible lobbying and political expenditures for the following tax year?	85h	N/A
86 501(c)(7) organizations Enter a Initiation fees and capital contributions included on line 12	86a	N/A
b Gross receipts, included on line 12, for public use of club facilities	86b	N/A
87 501(c)(12) organizations Enter a Gross income from members or shareholders	87a	N/A
b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	87b	N/A
88 At any time during the year, did the organization own a 50% or greater interest in a taxable corporation or partnership, or an entity disregarded as separate from the organization under Regulations Sections 301.7701-2 and 301.7701-3? If 'Yes,' complete Part IX		X
89a 501(c)(3) organizations Enter Amount of tax imposed on the organization during the year under Section 4911 <u>0</u> , Section 4912 <u>0</u> , Section 4955 <u>0</u>		
b 501(c)(3) and 501(c)(4) organizations Did the organization engage in any Section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If 'Yes,' attach a statement explaining each transaction	89b	X
c Enter Amount of tax imposed on the organization managers or disqualified persons during the year under Sections 4912, 4955, and 4958		0
d Enter Amount of tax on line 89c, above, reimbursed by the organization		0
90a List the states with which a copy of this return is filed OHIO		
b Number of employees employed in the pay period that includes March 12, 2001 (see instructions)	90b	1,824
91 The books are in care of NATIONAL TAX DIRECTOR Telephone number 510 271-6385 Located at 1 KAISER PLAZA, 1550 ORDWAY, OAKLAND, CA ZIP + 4 94612		
92 Section 4947(a)(1) nonexempt charitable trusts filing Form 990 in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax exempt interest received or accrued during the tax year	92	N/A

Part VII Analysis of Income-Producing Activities (See instructions)

Note: Enter gross amounts unless otherwise indicated

	Unrelated business income		Excluded by section 512 513 or 514		(E) Related or exempt function income
	(A) Business code	(B) Amount	(C) Exclusion code	(D) Amount	
93 Program service revenue					
a MEMBERS' DUES					297,666,508
b MISCELLANEOUS REVENUE			1	1,900,948	
c NONPLAN & INDUSTRIAL					-523,217
d SUPPLEMENTAL CHARGES					20,344,067
e					
f Medicare/Medicaid payments					178,401,261
g Fees & contracts from government agencies					
94 Membership dues and assessments					
95 Interest on savings & temporary cash invmnts			14	287,181	
96 Dividends & interest from securities					
97 Net rental income or (loss) from real estate					
a debt-financed property					
b not debt-financed property					
98 Net rental income or (loss) from pers prop					
99 Other investment income					
100 Gain or (loss) from sales of assets other than inventory			18	1,105,609	
101 Net income or (loss) from special events					
102 Gross profit or (loss) from sales of inventory	446110	11,636			
103 Other revenue					
a					
b					
c					
d					
e					
104 Subtotal (add columns (B), (D), and (E))		11,636		3,293,738	495,888,619
105 Total (add line 104, columns (B), (D), and (E))					499,193,993

Note: Line 105 plus line 1d, Part I should equal the amount on line 12, Part I

Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes (See instructions)

Line No	Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes)
1	SEE STATEMENT 12
2	
3	
4	

Part IX Information Regarding Taxable Subsidiaries and Disregarded Entities (See instructions)

(A) Name, address, and EIN of corporation, partnership, or disregarded entity	(B) Percentage of ownership interest	(C) Nature of activities	(D) Total income	(E) End of year assets
N/A	%			
	%			
	%			
	%			

Part X Information Regarding Transfers Associated with Personal Benefit Contracts (See instructions)

a Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

☐ Yes ☒ No

Note If Yes to (b), file Form 8870 and Form 4720 (see instructions)

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

NOV 6 2002

Date

Schedule A
(Form 990 or 990-EZ)

**Organization Exempt Under
Section 501(c)(3)**

OMB No. 1545-0047

(Except Private Foundation) and Section 501(e), 501(f), 501(k), 501(n), or Section 4947(a)(1)
Nonexempt Charitable Trust Supplementary Information — (See separate instructions)

2001

Department of the Treasury
Internal Revenue Service

Supplementary Information — (see separate instructions)

► Must be completed by the above organizations and attached to their Form 990 or 990-EZ

Name of the Organization

KAISER FOUNDATION HEALTH PLAN OF OHIO

Employer Identification Number

34-0922268

Part I Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees

(See instructions. List each one. If there are none, enter 'None'.)

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
RICHARD H. VANBERGEN 1 KAISER PLAZA, OAKLAND CA	PROJECT MANAGER 40	141,488	0	0
TRACY L. KANOUFF 1 KAISER PLAZA, OAKLAND CA	MGMT SVCS DIR 40	90,512	50,253	0
DENISE D. PITTS 1 KAISER PLAZA, OAKLAND CA	PROJECT MANAGER 40	134,800	0	0
ROBERT C. GERLAND 1 KAISER PLAZA, OAKLAND CA	PHARMACIST 40	121,330	12,781	0
EDWARD S. KING 1 KAISER PLAZA, OAKLAND CA	PHARMACIST 40	111,702	13,373	0
Total number of other employees paid over \$50,000	256			

Part II Compensation of the Five Highest Paid Independent Contractors for Professional Services

(See instructions. List each one (whether individuals or firms). If there are none, enter 'None'.)

(a) Name and address of each independent contractor paid more than \$50,000	(b) Type of service	(c) Compensation
OHIO PERMANENTE MEDICAL GROUP 1001 LAKESIDE AVE, CLEVELAND, OHIO 44114	MEDICAL SERVICES	87,441,808
CLEVELAND CLINIC FOUNDATION 9500 EUCLID AVE, CLEVELAND, OHIO 44195	MEDICAL SERVICES	77,595,467
SUMMA HEALTH SYSTEMS 525 E MARKET ST, AKRON, OHIO 44309	MEDICAL SERVICES	19,064,783
METROHEALTH MEDICAL CENTER 2500 METROHEALTH DR, CLEVELAND, OHIO 44109	MEDICAL SERVICES	12,446,632
LAKE HOSPITAL SYSTEM 36000 EUCLID AVE, WILLOUGHBY, OHIO 44094	MEDICAL SERVICES	8,386,904
Total number of others receiving over \$50,000 for professional services	266	

Part III Statements About Activities (See instructions)

	Yes	No
1 During the year, has the organization attempted to influence national, state, or local legislation including any attempt to influence public opinion on a legislative matter or referendum? If 'Yes,' enter the total expenses paid or incurred in connection with the lobbying activities ► \$ 75,931 (Must equal amounts on line 38, Part VI-A, or line 1 of Part VI-B) Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI-A. Other organizations checking 'Yes,' must complete Part VI-B and attach a statement giving a detailed description of the lobbying activities.	1 X	
2 During the year, has the organization, either directly or indirectly, engaged in any of the following acts with any substantial contributors, trustees, directors, officers, creators, key employees, or members of their families, or with any taxable organization with which any such person is affiliated as an officer, director, trustee, majority owner, or principal beneficiary? (If the answer to any question is 'Yes,' attach a detailed statement explaining the transactions.) SEE STATEMENT 13		
a Sale, exchange, or leasing of property?	2a X	
b Lending of money or other extension of credit?	2b X	
c Furnishing of goods, services, or facilities?	2c X	
d Payment of compensation (or payment or reimbursement of expenses if more than \$1,000)? SEE FORM 990, PART V	2d X	
e Transfer of any part of its income or assets? SEE STATEMENT 14	2e	X
3 Does the organization make grants for scholarships, fellowships, student loans, etc? (See Note below)	3	X
4 Do you have a section 403(b) annuity plan for your employees?	4 X	
Note Attach a statement to explain how the organization determines that individuals or organizations receiving grants or loans from it in furtherance of its charitable programs 'qualify' to receive payments.		

Part IV Reason for Non-Private Foundation Status (See instructions)The organization is not a private foundation because it is (please check only **One** applicable box)

- 5 ☐ A church, convention of churches, or association of churches Section 170(b)(1)(A)(i)
- 6 ☐ A school Section 170(b)(1)(A)(ii) (Also complete Part V)
- 7 ☐ A hospital or a cooperative hospital service organization Section 170(b)(1)(A)(iii)
- 8 ☐ A federal, state, or local government or governmental unit Section 170(b)(1)(A)(v)
- 9 ☐ A medical research organization operated in conjunction with a hospital Section 170(b)(1)(A)(iii) Enter the hospital's name, city, and state **►**
- 10 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit Section 170(b)(1)(A)(iv) (Also complete the **Support Schedule** in Part IV-A)
- 11a ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public Section 170(b)(1)(A)(vi) (Also complete the **Support Schedule** in Part IV-A)
- 11b ☐ A community trust Section 170(b)(1)(A)(vi) (Also complete the **Support Schedule** in Part IV-A)
- 12 ☒ An organization that normally receives (1) more than 33-1/3% of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc, functions — subject to certain exceptions, and (2) no more than 33-1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See section 509(a)(2) (Also complete the **Support Schedule** in Part IV-A)
- 13 ☐ An organization that is not controlled by any disqualified persons (other than foundation managers) and supports organizations described in (1) lines 5 through 12 above, or (2) section 501(c)(4), (5), or (6), if they meet the test of section 509(a)(2) (See section 509(a)(3))

Provide the following information about the supported organizations (See instructions)

(a) Name(s) of supported organization(s)	(b) Line number from above

- 14 ☐ An organization organized and operated to test for public safety Section 509(a)(4) (See instructions)

Part IV-A Support Schedule (Complete only if you checked a box on line 10, 11, or 12) *Use cash method of accounting.***Note:** You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting

Calendar year (or fiscal year beginning in)	(a) 2000	(b) 1999	(c) 1998	(d) 1997	(e) Total
15 Gifts, grants, and contributions received (Do not include unusual grants. See line 28.)	65,284	71,500	88,055	142,600	367,439
16 Membership fees received					
17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is related to the organization's charitable, etc., purpose	476,226,139	456,721,179	439,783,110	404,106,237	1776836665
18 Gross income from interest, dividends, amounts received from payments on securities loans (Section 512(a)(5)), rents, royalties, and unrelated business taxable income (less Section 511 taxes) from businesses acquired by the organization after June 30, 1975	1,069,761	2,765,430	3,208,487	4,386,459	11,430,137
19 Net income from unrelated business activities not included in line 18					
20 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf					
21 The value of services or facilities furnished to the organization by a governmental unit without charge. Do not include the value of services or facilities generally furnished to the public without charge.					
22 Other income. Attach a schedule. Do not include gain or (loss) from sale of capital assets.					
23 Total of lines 15 through 22	477,361,184	459,558,109	443,079,652	408,635,296	1788634241
24 Line 23 minus line 17	1,135,045	2,836,930	3,296,542	4,529,059	11,797,576
25 Enter 1% of line 23	4,773,612	4,595,581	4,430,797	4,086,353	
26 Organizations described on lines 10 or 11:	<p>a Enter 2% of amount in column (e), line 24 N/A</p> <p>b Prepare a list for your records to show the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 1997 through 2000 exceeded the amount shown in line 26a. Do not file this list with your return. Enter the total of all these excess amounts.</p> <p>c Total support for Section 509(a)(1) test. Enter line 24, column (e)</p> <p>d Add: Amounts from column (e) for lines 18 <u> </u> 19 <u> </u> 22 <u> </u> 26b <u> </u></p> <p>e Public support (line 26c minus line 26d total)</p> <p>f Public support percentage (line 26e (numerator) divided by line 26c (denominator))</p>				
27 Organizations described on line 12:	<p>a For amounts included in lines 15, 16, and 17 that were received from a 'disqualified person,' prepare a list for your records to show the name of, and total amounts received in each year from, each 'disqualified person.' Do not file this list with your return. Enter the sum of such amounts for each year: (2000) <u>0</u> (1999) <u>0</u> (1998) <u>0</u> (1997) <u>0</u></p> <p>b For any amount included in line 17 that was received from each person (other than 'disqualified persons'), prepare a list for your records to show the name of, and amount received for each year, that was more than the larger of (1) the amount on line 25 for the year or (2) \$5,000. (Include in the list organizations described in lines 5 through 11, as well as individuals.) Do not file this list with your return. After computing the difference between the amount received and the larger amount described in (1) or (2), enter the sum of these differences (the excess amounts) for each year: (2000) <u>0</u> (1999) <u>0</u> (1998) <u>0</u> (1997) <u>0</u></p> <p>c Add: Amounts from column (e) for lines 15 <u>367,439</u> 16 <u> </u> 17 <u>1776836665</u> 20 <u> </u> 21 <u> </u></p> <p>d Add: Line 27a total <u>0</u> and line 27b total <u>0</u></p> <p>e Public support (line 27c total minus line 27d total)</p> <p>f Total support for section 509(a)(2) test. Enter amount from line 23, column (e) 27f <u>1788634241</u></p> <p>g Public support percentage (line 27e (numerator) divided by line 27f (denominator))</p> <p>h Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator))</p>				
					27c 1777204104
					27d 0
					27e 1777204104
					27g 99.36 %
					27h 0.64 %

28 Unusual Grants For an organization described in line 10, 11, or 12 that received any unusual grants during 1997 through 2000, prepare a list for your records to show, for each year, the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant. Do not file this list with your return. Do not include these grants in line 15.

Part V Private School Questionnaire (See instructions)
(To be completed only by schools that checked the box on line 6 in Part IV)

		N/A	Yes	No
29	Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?			
30	Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?			
31	Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If 'Yes,' please describe, if 'No,' please explain. (If you need more space, attach a separate statement.)			
32	Does the organization maintain the following:			
a	Records indicating the racial composition of the student body, faculty, and administrative staff?	32a		
b	Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?	32b		
c	Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?	32c		
d	Copies of all material used by the organization or on its behalf to solicit contributions?	32d		
	If you answered 'No' to any of the above, please explain. (If you need more space, attach a separate statement.)			
33	Does the organization discriminate by race in any way with respect to:			
a	Students' rights or privileges?	33a		
b	Admissions policies?	33b		
c	Employment of faculty or administrative staff?	33c		
d	Scholarships or other financial assistance?	33d		
e	Educational policies?	33e		
f	Use of facilities?	33f		
g	Athletic programs?	33g		
h	Other extracurricular activities?	33h		
	If you answered 'Yes' to any of the above, please explain. (If you need more space, attach a separate statement.)			
34a	Does the organization receive any financial aid or assistance from a governmental agency?	34a		
b	Has the organization's right to such aid ever been revoked or suspended?	34b		
	If you answered 'Yes' to either 34a or b, please explain using an attached statement.			
35	Does the organization certify that it has complied with the applicable requirements of sections 401 through 405 of Rev. Proc. 75-50, 1975-2 C.B. 587, covering racial nondiscrimination? If 'No,' attach an explanation.	35		

Part VI-A Lobbying Expenditures by Electing Public Charities (See instructions)
(To be completed **Only** by an eligible organization that filed Form 5768)Check ☐ **a** if the organization belongs to an affiliated group Check ☐ **b** if you checked 'a' and 'limited control' provisions apply**Limits on Lobbying Expenditures**

(The term 'expenditures' means amounts paid or incurred)

		(a) Affiliated group totals	(b) To be completed for all electing organizations
36	Total lobbying expenditures to influence public opinion (grassroots lobbying)	36	
37	Total lobbying expenditures to influence a legislative body (direct lobbying)	37	
38	Total lobbying expenditures (add lines 36 and 37)	38	
39	Other exempt purpose expenditures	39	
40	Total exempt purpose expenditures (add lines 38 and 39)	40	
41	Lobbying nontaxable amount Enter the amount from the following table --		
	If the amount on line 40 is --		
	Not over \$500,000		
	Over \$500,000 but not over \$1,000,000		
	Over \$1,000,000 but not over \$1,500,000		
	Over \$1,500,000 but not over \$17,000,000		
	Over \$17,000,000		
	The lobbying nontaxable amount is --		
	20% of the amount on line 40		
	\$100,000 plus 15% of the excess over \$500,000		
	\$175,000 plus 10% of the excess over \$1,000,000		
	\$225,000 plus 5% of the excess over \$1,500,000		
	\$1,000,000		
42	Grassroots nontaxable amount (enter 25% of line 41)	42	
43	Subtract line 42 from line 36 Enter -0- if line 42 is more than line 36	43	
44	Subtract line 41 from line 38 Enter -0- if line 41 is more than line 38	44	
Caution If there is an amount on either line 43 or line 44, you must file Form 4720			

4 -Year Averaging Period Under Section 501(h)(Some organizations that made a section 501(h) election do not have to complete all of the five columns below
See the instructions for lines 45 through 50)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in) ▶	(a) 2001	(b) 2000	(c) 1999	(d) 1998	(e) Total
45 Lobbying nontaxable amount					
46 Lobbying ceiling amount (150% of line 45(e))					
47 Total lobbying expenditures					
48 Grassroots non taxable amount					
49 Grassroots ceiling amount (150% of line 48(e))					
50 Grassroots lobbying expenditures					

Part VI-B Lobbying Activity by Nonelecting Public Charities

(For reporting only by organizations that did not complete Part VI-A) (See instructions)

During the year, did the organization attempt to influence national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum through the use of

- a Volunteers
- b Paid staff or management (include compensation in expenses reported on lines c through h)
- c Media advertisements
- d Mailings to members, legislators, or the public
- e Publications or published or broadcast statements
- f Grants to other organizations for lobbying purposes
- g Direct contact with legislators, their staffs, government officials, or a legislative body
- h Rallies, demonstrations, seminars, conventions, speeches lectures or any other means
- i Total lobbying expenditures (add lines c through h)

Yes	No	Amount
	X	
	X	
	X	
X		840
	X	
	X	
X		59,131
X		15,960
		75,931

If 'Yes' to any of the above, also attach a statement giving a detailed description of the lobbying activities **SEE STATEMENT 15**

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

Supplementary information for
line 1 of Form 990, 990-EZ and 990-PF (see instructions)

OMB No 1545-0047

2001

Name of Organization

KAISER FOUNDATION HEALTH PLAN OF OHIO

Employer Identification Number

34-0922268

Organization type (check one)

Filers of

Form 990 or 990-EZ

Section:

- ☒ 501(c)(3) (enter number) organization
☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
☐ 527 political organization

Form 990-PF

- ☐ 501(c)(3) exempt private foundation
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **general rule** or a **special rule** (Note Only a Section 501(c)(7), (8), or (10) organization can check box(es) for both the general rule and a special rule — see instructions)

General Rule —

- ☒ For organizations filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor (Complete Parts I and II)

Special Rules —

- ☐ For a Section 501(c)(3) organization filing Form 990, or Form 990-EZ, that met the 33 1/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of \$5,000 or 2% of the amount on line 1 of these forms (Complete Parts I and II)
- ☐ For a Section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, aggregate contributions or bequests of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals (Complete Parts I, II, and III)
- ☐ For a Section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, some contributions for use *exclusively* for religious, charitable, etc, purposes, but these contributions did not aggregate to more than \$1,000 (If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc, purpose. Do not complete any of the Parts unless the general rule applies to this organization because it received nonexclusively religious, charitable, etc, contributions of \$5,000 or more during the year.) ▶ \$

Caution: Organizations that are not covered by the general rule and/or the special rules do not file Schedule B (Form 990, 990-EZ, or 990-PF) but **must** check the box in the heading of their Form 990, Form 990-EZ, or on line 1 of their Form 990-PF, to certify that they do not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

BAA

Schedule B (Form 990, 990-EZ, or 990-PF) (2001)

Name of Organization

Employer Identification Number

KAISER FOUNDATION HEALTH PLAN OF OHIO

34-0922268

Part I Contributors (see instructions)

(a) Number	(b) Name, address and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1		\$ 10,177	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is noncash contribution)
2		\$ 116,500	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is noncash contribution)

CLIENT 909

KAISER FOUNDATION HEALTH PLAN OF OHIO

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STATEMENT 1
FORM 990, PART I, LINE 10
GROSS PROFIT (LOSS) FROM SALES OF INVENTORY

NON-MEMBER PHARMACY SALES	\$	15,567
GROSS SALES	\$	15,567
LESS RETURNS & ALLOWANCES		0
NET SALES	\$	15,567
LESS COST OF GOODS SOLD		3,931
GROSS PROFIT FROM SALES OF INVENTORY	\$	11,636

STATEMENT 2
FORM 990, PART I, LINE 20
OTHER CHANGES IN NET ASSETS OR FUND BALANCES

UNREALIZED GAIN ON INVESTMENTS	\$	75,000
UNRELEASED RESTRICTED DONATED CAPITAL		-2,004
TOTAL	\$	72,996

STATEMENT 3
FORM 990, PART II, LINE 43
OTHER EXPENSES

	(A) TOTAL	(B) PROGRAM SERVICES	(C) MANAGEMENT & GENERAL	(D) FUNDRAISING
ADVERTISE/MARKETING	1,206,183	7,066	1,199,117	
BASIC CONTRACT PAY	167917785	167917785		
BROKERS FEES	1,266,089	-409,949	1,676,038	
CHARITABLE DONATIONS	8,677	8,677		
DUES, MEMBERSHIP FEES	25,955	25,689	266	
EMPLOYEE DEVELOPMENT	784,877	784,877		
FACILITIES RECHARGE	5,376,572	-246,283	5,622,855	
FEDERAL BUSINESS TAXES & LICEN	20,955	18,487	2,468	
IN/OUT OF AREA CLAIMS	22701146	22701146		
KPIT CHARGES	21045588		21045588	
MISCELLANEOUS	591,546	330,162	261,384	
OTHER INSURANCE	16,848	7,753	9,095	
PROF/PUBLIC LIAB INSURANCE	16448654	16448654		
PROFESSIONAL OUTSIDE FEES	13880696	7,889,731	5,990,965	
PURCHASED MEDICAL SERVICES	69361732	69361732		
STATE/LOCAL BUSINESS TAX/LICEN	14,011	20	13,991	
STATE/LOCAL PROPERTY SALES TAX	2,471,137	938,818	1,532,319	
SUBSCRIPTION	160,609	134,647	25,962	
TOTAL	\$ 323299060	\$ 285919012	\$ 37380048	\$ 0

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KAISER FOUNDATION HEALTH PLAN OF OHIO

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STATEMENT 4
FORM 990, PART III
ORGANIZATION'S PRIMARY EXEMPT PURPOSE

THE PRIMARY EXEMPT PURPOSE OF KAISER FOUNDATION HEALTH PLAN OF OHIO ("HEALTH PLAN"), AN OHIO NOT-FOR-PROFIT CORPORATION, ORGANIZED FOR THE PUBLIC BENEFIT AND GENERALLY EXEMPT FROM INCOME TAX UNDER THE PROVISIONS OF INTERNAL REVENUE CODE SECTION 501(C)(3), IS TO PROVIDE A PROGRAM OF HEALTH CARE SERVICES TO ITS MEMBERS AS A PREPAID DIRECT CARE GROUP PRACTICE HEALTH MAINTENANCE ORGANIZATION

HEALTH PLAN IS A SUBSIDIARY OF KAISER FOUNDATION HEALTH PLAN, INC. AN ORGANIZATION ALSO EXEMPT FROM TAX UNDER INTERNAL REVENUE CODE SECTION 501(C)(3). HEALTH PLAN CONTRACTS WITH KAISER FOUNDATION HOSPITALS AND WITH THE OHIO PERMANENTE MEDICAL P C TO PROVIDE FOR OR ARRANGE HOSPITAL AND MEDICAL SERVICES TO MEMBERS OF HEALTH PLAN. CONTRACT PAYMENTS TO THE MEDICAL GROUP AND TO HOSPITALS REPRESENT A SUBSTANTIAL PORTION OF THE EXPENSES FOR MEDICAL AND HOSPITAL SERVICES REPORTED IN THIS RETURN.

PLEASE SEE THE ATTACHMENT, "KAISER PERMANENTE COMMUNITY BENEFIT REPORT FOR KAISER FOUNDATION HEALTH PLAN OF OHIO FOR 2001." THIS REPORT WILL PROVIDE A DESCRIPTION OF THE ACTIVITIES CONDUCTED BY HEALTH PLAN IN THE ACCOMPLISHMENT OF ITS EXEMPT PURPOSES AND FOR THE BENEFIT OF THE COMMUNITIES IN WHICH HEALTH PLAN CONDUCTS ITS ACTIVITIES.

STATEMENT 5
FORM 990, PART IV, LINE 54
INVESTMENTS - SECURITIES

<u>OTHER SECURITIES</u>	<u>VALUATION METHOD</u>	<u>AMOUNT</u>
MARKETABLE SECURITIES	MARKET VALUE	\$ 477,140
	TOTAL	\$ 477,140
TOTAL INVESTMENTS - SECURITIES		<u>\$ 477,140</u>

STATEMENT 6
FORM 990, PART IV, LINE 57
LAND, BUILDINGS, AND EQUIPMENT

<u>CATEGORY</u>	<u>BASIS</u>	<u>ACCUM DEPREC.</u>	<u>BOOK VALUE</u>
MACHINERY AND EQUIPMENT	\$ 58263269	\$ 49222321	\$ 9,040,948
BUILDINGS	61813723	15696355	46117368
IMPROVEMENTS	6,817,384	5,470,941	1,346,443
LAND	5,961,963		5,961,963
MISCELLANEOUS	12421228	11489254	931,974
TOTAL	<u>\$ 145277567</u>	<u>\$ 81878871</u>	<u>\$ 63398696</u>

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FEDERAL STATEMENTS

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KAISER FOUNDATION HEALTH PLAN OF OHIO

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STATEMENT 7
FORM 990, PART IV, LINE 58
OTHER ASSETS

DEPOSITS AND DEFERRED TAXES
 LONG-TERM PENSION FUNDING

\$ 2,610
 4,452,919
TOTAL \$ 4,455,529

STATEMENT 8
FORM 990, PART IV, LINE 65
OTHER LIABILITIES

LIABILITY FOR POST RETIREMENT
 LIABILITY FOR SELF-INSURED
 LIABILITY FOR WORKERS COMP
 MEDICARE AUDIT RESERVE
 OTHER CURRENT LIABILITIES
 PROFESSIONAL & PUBLIC INS RISK

\$ 28,663,471
 15,300,000
 564,641
 144,137
 364,796
 35,143,220
TOTAL \$ 80,180,265

STATEMENT 9A
FORM 990, PART IV-A, LINE D(2)
OTHER AMOUNTS

RECLASS LOSS ON SALE OF FIXED ASSETS

\$ -124,469
TOTAL \$ -124,469

STATEMENT 9 B
FORM 990, PART IV-B, LINE D(2)
OTHER AMOUNTS

RECLASS LOSS ON SALE OF FIXED ASSETS

\$ -124,469
TOTAL \$ -124,469

STATEMENT 10
FORM 990, PART V
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN- SATION	CONTRI- BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
SEE STATEMENT 22 ONE KAISER PLAZA OAKLAND, CA 94612	40	\$ 492,314	\$ 28,004	\$ 495.
		TOTAL \$ 492,314	\$ 28,004	\$ 495.

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**STATEMENT 11
FORM 990, PART VI, LINE 80B
RELATED ORGANIZATIONS**

NAME OF ORGANIZATION	EXEMPT	NONEXEMPT
SEE STATEMENTS 18 & 19		X
SEE STATEMENTS 18 & 19	X	

**STATEMENT 12
FORM 990, PART VIII
RELATIONSHIP OF ACTIVITIES TO THE ACCOMPLISHMENT OF EXEMPT PURPOSES**

LINE #	EXPLANATION OF ACTIVITIES
93A	MEMBERS DUES - MEMBERS DUES REPRESENTS REVENUE RECEIVED FROM OR ON BEHALF OF MEMBERS FOR PREPAID HEALTH CARE COVERAGE UNDER THE PLAN REFERRED TO IN PART III OF THIS RETURN REVENUE EXCLUDED UNDER PROVISIONS OF REVENUE RULING 68-27
93C	NON-PLAN AND INDUSTRIAL - REPRESENTS REVENUE RECEIVED FROM NON-MEMBERS FOR HEALTH CARE AND FROM OUTSIDE INSURERS FOR REIMBURSEMENT FOR HEALTH CARE SERVICES PROVIDED TO MEMBERS FOR WORK RELATED INJURIES REVENUE EXCLUDED UNDER THE PROVISIONS OF INTERNAL REVENUE REGULATION 1 501(C) (3) -1
93D	SUPPLEMENTAL CHARGES - REPRESENTS REVENUE RECEIVED FOR CO-PAYMENTS FROM OR ON BEHALF OF MEMBERS FOR HEALTH CARE SERVICES PROVIDED UNDER THE PLAN REFERRED TO IN PART III OF THIS RETURN REVENUE EXCLUDED UNDER THE PROVISIONS OF INTERNAL REVENUE REGULATION 1 501(C) (3) -1
93F	MEDICARE/MEDICAID PAYMENTS - REPRESENTS REVENUE RECEIVED FROM THE SOCIAL SECURITY ADMINISTRATION FOR MEDICAL CARE SERVICES PROVIDED TO PLAN MEMBERS COVERED UNDER PART B OF MEDICARE REVENUE EXCLUDED UNDER THE PROVISIONS OF INTERNAL REVENUE REGULATION 1 501(C) (3) -1

**STATEMENT 13
SCHEDULE A, PART III, LINE 2
TRANSACTIONS WITH TRUSTEES, DIRECTORS, ETC.**

IN THE ORDINARY COURSE OF CARRYING OUT THE EXEMPT PURPOSE OF THE ORGANIZATION, HEALTH PLAN ENGAGES IN NUMEROUS COMMERCIAL TRANSACTIONS WITH OTHER ORGANIZATIONS WHOSE DIRECTORS AND/OR OFFICERS MAY ALSO BE DIRECTORS OR OFFICERS OF THIS ORGANIZATION. ALL OF THESE COMMERCIAL RELATIONSHIPS ARE ENTERED INTO IN THE ORDINARY COURSE OF BUSINESS AND MAY INVOLVE TRANSACTIONS OF THE KIND REFERRED TO IN (A) THROUGH (D) OF QUESTION 2, PART III, SCHEDULE A, FORM 990, AT RATES GENERALLY PREVAILING IN THE AREA AT THE TIME FOR EQUIVALENT GOODS, SERVICES AND FACILITIES

THE ORGANIZATION ALSO PAYS COMPENSATION TO ITS EMPLOYEES, OFFICERS AND DIRECTORS, AND EITHER PAYS FOR OR REIMBURSES THEM FOR CERTAIN EXPENSES INCURRED IN CONNECTION WITH THEIR DUTIES TO HEALTH PLAN. SEE PART V, FORM 990 FOR SCHEDULE OF COMPENSATION, BENEFITS AND EXPENSE REIMBURSEMENTS PROVIDED TO OFFICERS AND DIRECTORS FOR THEIR SERVICES TO THE ORGANIZATION

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STATEMENT 13 (CONTINUED)
SCHEDULE A, PART III, LINE 2
TRANSACTIONS WITH TRUSTEES, DIRECTORS, ETC.

SEE STATEMENT 22

STATEMENT 14
SCHEDULE A, PART III, LINE 3
QUALIFICATIONS OF RECIPIENTS RECEIVING GRANTS OR LOANS

HEALTH PLAN GENERALLY IS NOT A GRANT MAKING ORGANIZATION. MOST OF HEALTH PLAN'S EXPENDITURES RELATE TO PROVIDING HEALTH CARE SERVICES TO ITS MEMBERS INCLUDING OPERATING EXPENSES AND CAPITAL EXPENDITURES INCURRED IN FURTHERANCE OF THIS ACTIVITY.

HEALTH PLAN PROVIDES ITS SERVICES DIRECTLY TO THE MEMBERS OF THE COMMUNITY THROUGH ITS MOSTLY PREPAID HEALTH CARE PROGRAMS. FOR AN EXPLANATION OF THESE PROGRAMS THAT BENEFIT THE COMMUNITY, PLEASE REFER TO THE "KAISER PERMANENTE COMMUNITY BENEFIT REPORT FOR KAISER FOUNDATION HEALTH PLAN OF OHIO FOR 2001" WHICH IS INCLUDED AS A PART OF THIS RETURN.

STATEMENT 15
SCHEDULE A, PART VI-B, LINE I
DESCRIPTIONS OF THE LOBBYING ACTIVITIES

THIS ORGANIZATION IS A MEMBER OF THE KAISER PERMANENTE MEDICAL CARE PROGRAM. AS AN ORGANIZATION EXEMPT FROM INCOME TAX UNDER INTERNAL REVENUE CODE SECTION 501(C)(3), THE ORGANIZATION DOES NOT PARTICIPATE IN OR CONDUCT POLITICAL CAMPAIGNS NOR DOES IT ENGAGE IN GRASS ROOTS LOBBYING ACTIVITIES. THE ORGANIZATION HAS SEVERAL EMPLOYEES AND RETAINS A FULL TIME PROFESSIONAL LOBBYIST, WHO FROM TIME-TO-TIME KEEPS INFORMED OF FEDERAL AND STATE LEGISLATION HAVING AN IMPACT ON THE ORGANIZATION'S ACTIVITIES AS AN EXEMPT HEALTH MAINTENANCE ORGANIZATION. THESE INDIVIDUALS ATTEMPT TO ENSURE THAT PROPOSED LEGISLATION IS COMPATIBLE WITH THE INTERESTS OF THE ORGANIZATION AND ITS MEMBERS BY PERFORMING THE FOLLOWING ACTIVITIES:

- COLLECTING, ANALYZING AND DISTRIBUTING WITHIN THE ORGANIZATION, PUBLIC AND PRIVATE POLICY RECOMMENDATIONS, PROPOSED LEGISLATION AND ENACTED LAWS THAT AFFECT THE OPERATION OF THE ORGANIZATION AND ITS ABILITY TO PROVIDE QUALITY SERVICES TO ITS MEMBERS.
- MAILING APPROPRIATE INFORMATION MATERIALS TO LEGISLATORS AND TO THEIR STAFFS THAT PERTAIN TO MATTERS OF COMMON INTEREST IN THE HEALTH CARE COMMUNITY AND IN THE NOT-FOR-PROFIT COMMUNITY.
- THEY ALSO PREPARE WRITTEN AND ORAL TESTIMONY, THEY APPEAR AT LEGISLATIVE HEARINGS, MONITORING LEGISLATIVE PROCEEDINGS AND MEETING WITH LEGISLATORS AND/OR THEIR STAFFS REGARDING ISSUES PERTINENT TO THE MISSION OF THE ORGANIZATION. THOSE INDIVIDUALS APPEARING AT SUCH HEARINGS AND MEETINGS ON BEHALF OF THE ORGANIZATION OFTEN ARE REPRESENTING THE INTERESTS OF COMMON INTEREST GROUPS AS WELL AS THE INTERESTS OF THE MEMBERS OF THE ORGANIZATION.

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**BALANCE SHEET
PREPAID EXPENSES AND DEFERRED CHARGES**PREPAID INSURANCE
PREPAID EXPENSES

	\$	15,000
		151,081
TOTAL	\$	<u>166,081</u>

**FORM 990 PART I, LINE 8.C, COLUMN (B)
GAIN OR (LOSS) FROM SALES OF ASSETS OTHER THAN INVENTORY**

DESCRIPTION	DATE ACQ'RD	DATE SOLD	SALES PRICE	EXPENSE OF SALE	COST/ EXPENSE OF SALE	ACCUM DEPREC	GAIN OR (LOSS)
SALE OF FIXED ASSETS:							
FAIRHILL PROPERTY			3,250,000	326,579		3,455,822	6,379,243
Land	06/02/75	06/13/01			105,868		(105,868)
Land Improvement	06/02/75	06/13/01			4,290	-	(4,290)
Building	06/02/75	06/13/01			4,774,114	-	(4,774,114)
Equipment	06/02/75	06/13/01			634,927	-	(634,927)
Total - Fairhill			3,250,000	326,579	5,519,199	3,455,822	860,044
Cleveland Heights			500,000	74,554		5,125,924	5,551,370
Land	08/22/83	07/24/01			442,249		(442,249)
Building	08/22/83	07/24/01			5,228,502	-	(5,228,502)
Total - Cleveland Heights			500,000	74,554	5,670,751	5,125,924	(119,381)
Brookpark			1,620,000	131,321		1,847,182	3,335,861
Land	11/02/94	11/27/01			269,817		(269,817)
Land Improvement	11/02/94	11/27/01			18,540		(18,540)
Building	11/02/94	11/27/01			2,552,926		(2,552,926)
Total - Brookpark			1,620,000	131,321	2,841,283	1,847,182	494,578
SUB-TOTAL SALE OF ASSETS			5,370,000	532,454	14,031,233	10,428,928	1,235,241
Adj - Sale/retirement of equipm	Various	Various	8,723		40,028	35,382	4,077
TOTALS/NET GAIN (LOSS)			5,378,723	532,454	14,071,261	10,464,310	1,239,318

RECAP OF NET GAIN/(LOSS)

Original Cost and Expenses of Sale	14,603,715
Less Depreciation	10,464,310
Net Cost or Other Basis	4,139,405
Less Gross Proceeds from Sales	5,378,723
NET GAIN/(LOSS)	1,239,318

NOTE #1 ALL OF THE FOREGOING FIXED ASSETS AND EQUIPMENT WERE ACQUIRED BY PURCHASE BY THE ORGANIZATION FOR USE IN THE TAX-EXEMPT PURPOSE OF THE ORGANIZATION

SCHEDULE OF FIXED ASSETS AND DEPRECIATION

**FORM 990 PART IV, LINE 57 - LAND, BUILDING AND EQUIPMENT,
LESS ACCUMULATED DEPRECIATION AND AMORTIZATION, AND
PART II, LINE 42, COLUMN (B) - DEPRECIATION AND AMORTIZATION.**

	COST		ACCUMULATED DEPREC/AMORT		2001 DEP/AMORT EXPENSE
	BEGINNING OF YEAR	END OF YEAR	BEGINNING OF YEAR	END OF YEAR	
LAND	6,779,896	5,961,963	0	0	0
LAND IMPROVEMENTS	745,742	734,042	353,346	393,730	58,080
BUILDINGS	73,981,076	61,813,723	22,688,032	15,696,355	2,649,299
LEASEHOLD IMPROVEMENTS	6,743,180	6,817,384	5,195,951	5,470,941	264,764
EQUIPMENT	57,568,439	58,263,269	44,795,364	49,222,321	5,238,852
CAPITALIZED SOFTWARE	11,095,524	11,095,524	11,075,930	11,095,524	19,594
CAPITALIZED LEASES	0	0	0	0	
CONSTRUCTION IN PROGRESS	337,896	591,662	0	0	
OTHER AMORTIZATION - START UP AND DEFERRED COSTS -					0
TOTALS TO					
PART IV, LINE 57(A)	<u>157,251,753</u>	<u>145,277,567</u>			
PART IV, LINE 57(B)			<u>84,108,623</u>	<u>81,878,871</u>	
PART IV, LINE 57(C)	<u>73,143,130</u>	<u>63,398,696</u>			
TOTAL DEPRECIATION AND AMORTIZATION					<u>8,230,589</u>

ATTACHMENT FOR:
FORM 990 PART VI, QUESTION 80 - RELATED AND AFFILIATED ENTITIES
AND/OR
FORM 1120, SCHEDULE K, QUESTIONS 3, 4 AND 5

KAISER FOUNDATION HEALTH PLAN, INC, A CALIFORNIA NOT-FOR PROFIT CORPORATION,
EXEMPT FROM INCOME TAX UNDER INTERNAL REVENUE CODE SECTION 501(C)(3), HAS A
CONTROLLING OR AFFILIATED INTEREST IN THE FOLLOWING CORPORATIONS AS OF DECEMBER 31, 2001

EMPLOYER ID #	ENTITY NAME	DIRECT & INDIRECT % CONTROLLED BY KFHP, INC.
SUBSIDIARY ENTITIES THAT ARE OWNED DIRECTLY OR INDIRECTLY BY KAISER FOUNDATION HEALTH PLAN, INC, THAT ARE ALSO EXEMPT FROM FEDERAL INCOME TAX UNDER IRC SECTION 501(C)(3)		
93-0798039	KAISER FOUNDATION HEALTH PLAN OF THE NORTHWEST	100%
84-0591617	KAISER FOUNDATION HEALTH PLAN OF COLORADO	100%
48-0924402	KAISER FOUNDATION HEALTH PLAN OF KANSAS CITY, INC	100%
58-1592076	KAISER FOUNDATION HEALTH PLAN OF GEORGIA, INC	100%
56-1421313	KAISER FOUNDATION HEALTH PLAN OF NORTH CAROLINA	100%
52-0954463	KAISER FOUNDATION HEALTH PLAN OF THE MID-ATLANTIC STATES, INC	100%
34-0922268	KAISER FOUNDATION HEALTH PLAN OF OHIO	100%
23-7425486	COMMUNITY HEALTH PLAN	100%
94-3299124	KAISER HEALTH PLAN ASSET MANAGEMENT, INC	100%
93-0954562	KAISER HEALTH ALTERNATIVES	100%
94-3299123	CAMP BOWIE SERVICE CENTER	100%
93-0480268	OHP	100%
91-2171891	LOKAHI ASSURANCE LTD	100%

**SUBSIDIARY ENTITIES THAT ARE OWNED DIRECTLY OR INDIRECTLY BY KAISER
FOUNDATION HEALTH PLAN, INC THAT ARE TAXABLE FOR FEDERAL AND
STATE INCOME TAX PURPOSES.**

03-0329760	OAK TREE ASSURANCE LTD	100%
95-4237200	KAISER FOUNDATION ADDED CHOICE HEALTH PLAN, INC	100%
94-3113684	KAISER PERMANENTE HEALTH ALTERNATIVES, INC	100%
94-3259432	KAISER PROPERTIES SERVICES, INC	100%
91-1814507	CHP COMPANIES INC	100%
22-2751724	HUDSON VALLEY COMMUNITY SERVICES CORP	100%
22-2973489	UPSTATE COMMUNITY SERVICES, INC	100%

**KAISER FOUNDATION HEALTH PLAN, INC IS AFFILIATED WITH THE FOLLOWING
ENTITIES EXEMPT FROM FEDERAL INCOME TAX UNDER IRC SECTION 501(C)(3)**

94-1105628	KAISER FOUNDATION HOSPITALS	*(1)	N/A
94-3299125	KAISER HOSPITALS ASSET MANAGEMENT, INC	*(2)	N/A

**KAISER FOUNDATION HEALTH PLAN OF OHIO
FORM 990 TAX YEAR 2001**

34-0922268

**KAISER FOUNDATION HEALTH PLAN, INC. IS AFFILIATED WITH THE FOLLOWING
ENTITIES THAT ARE NOT EXEMPT FROM FEDERAL INCOME TAX**

94-3245176	KAISER PERMANENTE INTERNATIONAL	*(2)	N/A
94-3292262	KAISER PERMANENTE VENTURES	*(2)	N/A
68-0444615	CARETOUCH, INC (LLC)	*(2)	N/A
94-3289704	KAIVEST I, LLC	*(2)	N/A
91-2166347	KP ONCALL, LLC	*(2)	N/A
94-3203402	KAISER PERMANENTE INSURANCE COMPANY	*(3)	50%

NOTE *(1) **KAISER FOUNDATION HOSPITALS, A CALIFORNIA NOT-FOR-PROFIT CORPORATION, EXEMPT FROM INCOME TAX UNDER THE PROVISIONS OF INTERNAL REVENUE CODE SECTION 501(C)(3). SHARES A COMMON BOARD OF DIRECTORS WITH KAISER FOUNDATION HEALTH PLAN, INC**

NOTE *(2) **THESE ENTITIES ARE SUBSIDIARIES OF KAISER FOUNDATION HOSPITALS**

NOTE *(3) **KAISER PERMANENTE INSURANCE COMPANY IS A NON-EXEMPT PROPERTY AND CASUALTY INSURANCE COMPANY OF WHICH 100% OF THE PREFERRED STOCK AND 50% OF THE COMMON STOCK IS OWNED BY KAISER FOUNDATION HEALTH PLAN, INC THE REMAINING 50% OF COMMON STOCK IS OWNED BY NON-AFFILIATED PHYSICIANS PRACTICE GROUPS**

THE COMMON ADDRESS FOR ALL ENTITIES LISTED ABOVE IS

**C/O KAISER FOUNDATION HEALTH PLAN, INC
PROGRAM OFFICE CONTROLLER'S DEPARTMENT - TAX
ONE KAISER PLAZA, 1550 ORDWAY
OAKLAND, CA 94612**

**CONSENT TO APPORTIONMENT PLAN FOR TAXABLE INCOME BRACKETS
BY CONTROLLED GROUP MEMBERS**

PURSUANT TO REGULATIONS ISSUED UNDER INTERNAL REVENUE CODE SECTION 1561(a)(1), WITH RESPECT TO THE TAXABLE INCOME BRACKETS AS ENUMERATED IN THE TAX TABLES AT IRC SECTION, 11(b), THE UNDERSIGNED CORPORATIONS, COMPONENT MEMBERS OF A CONTROLLED GROUP OF CORPORATIONS, WITHIN THE MEANING OF IRC SECTION 1563(a), HEREBY CONSENT TO THE APPORTIONMENT PLAN LISTED BELOW WITH RESPECT TO THE TAXABLE YEAR OF EACH CORPORATION WHICH INCLUDES DECEMBER 31, 2001

EMPLOYER TAX ID #	NAME AND ADDRESS	APPLIC- ABLE TAX FORM	ALLOCATION OF TAX BRACKETS		
			FIRST \$50,000	NEXT \$25,000	NEXT \$9,925,000
<u>KAISER FOUNDATION HEALTH PLAN GROUP.</u>					
94-1340523	KAISER FOUNDATION HEALTH PLAN, INC	990-T	\$50,000	\$25 000	\$9 925 000
93-0798039	KAISER FOUNDATION HEALTH PLAN OF THE NORTHWEST	990-T	NONE	NONE	NONE
84-0591617	KAISER FOUNDATION HEALTH PLAN OF COLORADO	990-T	NONE	NONE	NONE
48-0924402	KAISER FOUNDATION HEALTH PLAN OF KANSAS CITY, INC	990-T	NONE	NONE	NONE
58-1592076	KAISER FOUNDATION HEALTH PLAN OF GEORGIA, INC	990-T	NONE	NONE	NONE
56-1421313	KAISER FOUNDATION HEALTH PLAN OF NORTH CAROLINA	990-T	NONE	NONE	NONE
52-0954463	KAISER FOUNDATION HP OF THE MID-ATLANTIC STATES, INC	990-T	NONE	NONE	NONE
34-0922268	KAISER FOUNDATION HEALTH PLAN OF OHIO	990-T	NONE	NONE	NONE
23-7425486	COMMUNITY HEALTH PLAN	990-T	NONE	NONE	NONE
94-3299124	KAISER HEALTH PLAN ASSET MANAGEMENT, INC	990-T	NONE	NONE	NONE
93-0954562	KAISER HEALTH ALTERNATIVES	990-T	NONE	NONE	NONE
94-3299123	CAMP BOWIE SERVICE CENTER	990-T	NONE	NONE	NONE
93-0480268	OHP	990-T	NONE	NONE	NONE
91-2171891	LOKAHI ASSURANCE, LTD	990-T	NONE	NONE	NONE
03-0329760	OAK TREE ASSURANCE, LTD	1120-PC	NONE	NONE	NONE
95-4237200	KAISER FOUNDATION ADDED CHOICE HEALTH PLAN INC	1120	NONE	NONE	NONE
94-3113684	KAISER PERMANENTE HEALTH ALTERNATIVES	1120	NONE	NONE	NONE
94-3259432	KAISER PROPERTIES SERVICES, INC	1120	NONE	NONE	NONE
91-1814507	CHP COMPANIES, INC	1120	NONE	NONE	NONE
22-2751724	HUDSON VALLEY COMMUNITY SERVICES CORP	1120	NONE	NONE	NONE
22-2973489	UPSTATE COMMUNITY SERVICES, INC	1120	NONE	NONE	NONE
<u>KAISER FOUNDATION HOSPITALS GROUP.</u>					
94-1105628	KAISER FOUNDATION HOSPITALS	990-T	NONE	NONE	NONE
94-3299125	KAISER HOSPITALS ASSET MANAGEMENT, INC	990-T	NONE	NONE	NONE
94-3245176	KAISER PERMANENTE INTERNATIONAL	1120	NONE	NONE	NONE
94-3292262	KAISER PERMANENTE VENTURES	1120	NONE	NONE	NONE
68-0444615	CARETOUCH INC	1120	NONE	NONE	NONE
94-3289704	KAIVEST I LLC	1065	NONE	NONE	NONE
91-2166347	KP ONCALL, LLC	1065	NONE	NONE	NONE
TOTAL OF EACH BRACKET ALLOCATED			\$50,000	\$25,000	\$9,925,000

THE COMMON ADDRESS OF ALL ENTITIES JOINING IN THIS ELECTION OF APPORTIONMENT AS LISTED ABOVE IS

C/O KAISER FOUNDATION HEALTH PLAN, INC
PROGRAM OFFICE CONTROLLER'S DEPARTMENT - TAX
ONE KAISER PLAZA, 1550 ORDWAY
OAKLAND, CA 94612

AUTHORIZATION CONSENT FOR ALLOCATION ON BEHALF OF ALL MEMBER COMPANIES



DEBORAH STOKES VICE PRESIDENT AND CONTROLLER OF
KAISER FOUNDATION HEALTH PLAN INC AND OF KAISER FOUNDATION HOSPITALS
THE ULTIMATE PARENT CORPORATION AND/OR SOLE MEMBER OF EACH OF THE ABOVE
ENTITIES JOINING IN THIS ELECTION OF APPORTIONMENT

**CONSENT TO APPORTIONMENT PLAN FOR ALTERNATIVE MINIMUM TAX EXEMPTION
BY CONTROLLED GROUP MEMBERS**


PURSUANT TO REGULATIONS ISSUED UNDER INTERNAL REVENUE CODE SECTION 1561(a)(3), THE UNDERSIGNED CORPORATIONS, COMPONENT MEMBERS OF A CONTROLLED GROUP OF CORPORATIONS, WITHIN THE MEANING OF IRC SECTION 1563(a), HEREBY CONSENT TO THE APPORTIONMENT PLAN LISTED BELOW WITH RESPECT TO THE TAXABLE YEAR OF EACH CORPORATION WHICH INCLUDES DECEMBER 31, 2001

EMPLOYER TAX ID #	NAME AND ADDRESS	APPLIC- ABLE TAX FORM	ALLOCATION OF \$40,000 EXEMPTION
KAISER FOUNDATION HEALTH PLAN GROUP.			
94-1340523	KAISER FOUNDATION HEALTH PLAN, INC	990-T	\$40,000
93-0798039	KAISER FOUNDATION HEALTH PLAN OF THE NORTHWEST	990-T	NONE
84-0591617	KAISER FOUNDATION HEALTH PLAN OF COLORADO	990-T	NONE
48-0924402	KAISER FOUNDATION HEALTH PLAN OF KANSAS CITY, INC	990-T	NONE
58-1592076	KAISER FOUNDATION HEALTH PLAN OF GEORGIA, INC	990-T	NONE
56-1421313	KAISER FOUNDATION HEALTH PLAN OF NORTH CAROLINA	990-T	NONE
52-0954463	KAISER FOUNDATION HEALTH PLAN OF THE MID-ATLANTIC STATES IN	990-T	NONE
34-0922268	KAISER FOUNDATION HEALTH PLAN OF OHIO	990-T	NONE
23-7425486	COMMUNITY HEALTH PLAN	990-T	NONE
94-3299124	KAISER HEALTH PLAN ASSET MANAGEMENT, INC	990-T	NONE
93-0954562	KAISER HEALTH ALTERNATIVES	990-T	NONE
94-3299123	CAMP BOWIE SERVICE CENTER	990-T	NONE
93-0480268	OHP	990-T	NONE
91-2171891	LOKAHI ASSURANCE, LTD	990-T	NONE
03-0329760	OAK TREE ASSURANCE, LTD	1120-PC	NONE
95-4237200	KAISER FOUNDATION ADDED CHOICE HEALTH PLAN, INC	1120	NONE
94-3113684	KAISER PERMANENTE HEALTH ALTERNATIVES	1120	NONE
94-3259432	KAISER PROPERTIES SERVICES INC	1120	NONE
91-1814507	CHP COMPANIES, INC	1120	NONE
22-2751724	HUDSON VALLEY COMMUNITY SERVICES CORP	1120	NONE
22-2973489	UPSTATE COMMUNITY SERVICES, INC	1120	NONE
KAISER FOUNDATION HOSPITALS GROUP.			
94-1105628	KAISER FOUNDATION HOSPITALS	990-T	NONE
94-3299125	KAISER HOSPITALS ASSET MANAGEMENT, INC	990-T	NONE
94-3245176	KAISER PERMANENTE INTERNATIONAL	1120	NONE
94-3292262	KAISER PERMANENTE VENTURES	1120	NONE
68-0444615	CARETOUCH, INC (LLC)	1120	NONE
94-3289704	KAIVEST I LLC	1065	NONE
91-2166347	KP ONCALL, LLC	1065	NONE

THE COMMON ADDRESS OF ALL ENTITIES JOINING IN THIS ELECTION OF APPORTIONMENT AS LISTED ABOVE IS

c/o KAISER FOUNDATION HEALTH PLAN, INC
PROGRAM OFFICE CONTROLLER'S DEPARTMENT-TAX
ONE KAISER PLAZA, 1550 ORDWAY
OAKLAND, CA 94612

CONSENT AND AUTHORIZATION FOR ALLOCATION ON BEHALF OF ALL MEMBER COMPANIES


DEBORAH STOKES, VICE PRESIDENT AND CONTROLLER OF
KAISER FOUNDATION HEALTH PLAN, INC AND OF KAISER FOUNDATION HOSPITALS
THE ULTIMATE PARENT CORPORATION AND/OR SOLE MEMBER OF EACH OF THE ABOVE
ENTITIES JOINING IN THIS ELECTION OF APPORTIONMENT

COMPENSATION, BENEFITS AND EXPENSES OF DIRECTORS AND OFFICERS

FORM 990, PART V - LIST OF OFFICERS, DIRECTORS, TRUSTEES AND KEY EMPLOYEES

		(C) COMPENSATION		(D) BENEFITS		(E) REIMBURSEMENT OF EXPENSES	
(A) NAME	(B) TITLE	TOTAL	ALLOCATE TO KFHP OH	TOTAL	ALLOCATE TO KFHP OH	TOTAL	ALLOCATE TO KFHP OH
DIRECTORS.							
JAMES A VOHS	CHAIRMAN EMERITUS	0	0	0	0	0	0
DAVID M LAWRENCE MD	CHAIRMAN AND CEO	SEE BELOW	SEE BELOW	SEE BELOW	SEE BELOW	SEE BELOW	SEE BELOW
L DALE CRANDALL	DIRECTOR	SEE BELOW	SEE BELOW	SEE BELOW	SEE BELOW	SEE BELOW	SEE BELOW
DAVID R ANDREWS	DIRECTOR	87,489	754	5,222	58	1,109	12
THOMAS W CHAPMAN	DIRECTOR	84,452	720	5,222	58	3,107	35
HENRY M KAISER	DIRECTOR	53,889	602	5,222	58	0	0
CHANG-LIN TIEN	DIRECTOR	29,889	334	5,222	58	0	0
BARBARA D BLUM	DIRECTOR	48,800	543	114	1	1,874	21
DOROTHY H MANN PhD MPH	DIRECTOR	45,850	512	114	1	113	1
MARY E RERES EDD	DIRECTOR	49,330	551	5,371	61	0	0
ROBERT L RIDGLEY	DIRECTOR	84,213	717	9,804	110	645	7
DANIEL P GARCIA	DIRECTOR	82,080	603	5,371	61	2,065	30
DEAN O MORTON	DIRECTOR	52,889	589	5,222	58	0	0
EDWARD E PENHOET	DIRECTOR	40,500	452	114	1	0	0
BARRY L WILLIAMS	DIRECTOR	40,066	458	3,845	44	0	0
SUBTOTAL DIRECTORS		819,847	6,825	50,943	569	9,513	108
OFFICERS & KEY EMPLOYEES.							
DAVID M LAWRENCE MD	CHIEF EXECUTIVE OFFICER	1,493,482	25,357	25,864	439	0	0
BERNARD J TYSON	GROUP PRESIDENT	709,874	10,187	25,011	358	0	0
CHRISTOPHER F DULANEY	REGIONAL PRESIDENT	402,083	306,024	23,275	17,889	0	0
L DALE CRANDALL	EXECUTIVE VICE PRESIDENT	1,397,022	16,954	34,612	408	2,100	14
WILLIAM A GILLESPIE MD	EXECUTIVE VICE PRESIDENT	1,149,373	17,826	28,932	444	800	12
RICHARD R PETTINGILL	EXECUTIVE VICE PRESIDENT	630,561	9,870	28,932	444	1,140	17
ARTHUR BOUTHAM MD	EXECUTIVE VICE PRESIDENT	389,491	5,973	18,560	285	3,300	51
ROBERT BRIGGS	SENIOR VICE PRESIDENT	0	0	0	0	0	0
KIRK E MILLER	SENIOR VICE PRESIDENT	775,917	9,550	27,273	336	2,100	28
STEVEN R ZATKIN	SENIOR VICE PRESIDENT	362,293	5,556	27,279	418	2,093	32
THOMAS R MEIER	VICE PRESIDENT & TREASURER	225,809	2,779	21,541	285	2,100	28
DEBORAH STOKES	VICE PRESIDENT & CONTROLLER	241,304	3,700	23,751	364	2,100	32
VICTORIA B ZATKIN	ASSISTANT CONTROLLER	138,028	1,560	23,811	269	1,805	20
DINAH SEIVER	ASSISTANT CONTROLLER	293,000	70,473	23,783	5,715	680	159
SUBTOTAL OFFICERS AND KEY EMPLOYEES		8,208,806	485,389	332,647	27,435	18,198	389
TOTAL DIRECTORS, OFFICERS AND KEY EMPLOYEES		8,828,553	492,314	383,590	28,004	27,711	495

NOTE 1 THIS ORGANIZATION IS ONE OF THE CORPORATE ENTITIES LISTED ON THE STATEMENT "RELATED AND CONTROLLED ENTITIES" INCLUDED AS A PART OF THIS RETURN. THIS ORGANIZATION IS A PARTICIPATING MEMBER OF THE DIRECT SERVICE PREPAID HEALTH CARE PROGRAM COMMONLY REFERRED TO AS "THE KAISER PERMANENTE MEDICAL CARE PROGRAM" (MEDICAL CARE PROGRAM).

NOTE 2 COMPENSATION, BENEFIT CONTRIBUTIONS AND REIMBURSEMENT FOR CERTAIN EXPENSES OF DIRECTORS, OFFICERS AND KEY EMPLOYEES ARE PAID BY KAISER FOUNDATION HEALTH PLAN, INC. (HEALTH PLAN) AS COMMON DISBURSEMENT AGENT FOR PARTICIPATING MEMBER ORGANIZATIONS. CERTAIN DIRECTORS, OFFICERS AND KEY EMPLOYEES PERFORM SERVICES FOR SEVERAL OF THE MEDICAL CARE PROGRAM MEMBER ORGANIZATIONS.

BASED ON MANAGEMENT ANALYSIS AND CONSISTENT WITH THE ALLOCATION OF OTHER COMMON EXPENSES, A COMPOSITE ALLOCATION OF COMPENSATION, BENEFIT CONTRIBUTIONS AND REIMBURSEMENT OF EXPENSES ARE ALLOCATED TO KAISER FOUNDATION HOSPITALS. SPECIFIC ALLOCATIONS ARE THEN MADE TO CERTAIN OTHER PARTICIPATING ORGANIZATIONS BASED ON REASONABLE CONSIDERATIONS. THE BALANCE OF COMPENSATION, ETC. IS THEN ALLOCATED TO KAISER FOUNDATION HEALTH PLAN, INC. AND ITS SUBSIDIARY REGIONAL HEALTH PLANS BASED ON THE PERCENTAGE OF REGIONAL HEALTH PLAN MEMBERSHIP TO THE TOTAL MEMBERSHIP OF ALL HEALTH PLAN ENTITIES COMPRISING THE MEDICAL CARE PROGRAM.

FOR THOSE INDIVIDUALS ASSIGNED TO A SPECIFIC ENTITY OR SUB-GROUP OF ENTITIES, THEIR COMPENSATION, BENEFIT CONTRIBUTIONS AND REIMBURSEMENT OF EXPENSE ARE ALLOCATED DIRECTLY TO THE ENTITY AND/OR BASED ON A RATIO OF THE MEMBERSHIP OF THE ENTITY TO TOTAL MEMBERSHIP OF THE SUB-GROUP OF ENTITIES FOR WHICH THE INDIVIDUAL HAS ASSIGNED RESPONSIBILITIES.

NOTE 3 THE AMOUNTS REPORTED ABOVE FOR REIMBURSEMENT OF EXPENSE DO NOT INCLUDE SUCH ORDINARY AND NECESSARY EXPENSES AS TRAVEL, TRANSPORTATION, LODGING, MEALS, BUSINESS MEETINGS, CONFERENCES AND OTHER ROUTINE EXPENDITURES FOR WHICH THE INDIVIDUAL ACCOUNTS TO THE EMPLOYER ORGANIZATION. THESE ITEMS ARE REIMBURSED ON A SPECIFIC EXPENDITURE BASIS CONSISTENT WITH ADOPTED POLICIES AND PROCEDURES BASED ON PRUDENT FIDUCIARY RESPONSIBILITIES AND STANDARDS. THE POLICIES UNDER WHICH THESE INDIVIDUALS ACCOUNT TO THE EMPLOYER MEET THE REQUIREMENTS OF INTERNAL REVENUE CODE SECTION 274 SUBSTANTIATION REQUIREMENTS.

NOTE 4 THE ABOVE LISTED INDIVIDUALS ARE SCHEDULED TO WORK A MINIMUM OF 40 HOURS PER WEEK IN THEIR RESPECTIVE POSITIONS.

NOTE 5 THE ABOVE LISTED INDIVIDUALS CAN BE CONTACTED C/O
KAISER FOUNDATION HEALTH PLAN, INC.
ONE KAISER PLAZA, 1550 ORDMAN
OAKLAND, CA 94612

**KAISER FOUNDATION HEALTH PLAN OF OHIO
FORM 990 TAX YEAR 2001**

34-0922268

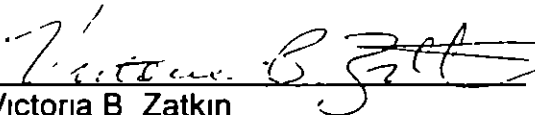
THE REVISED BYLAWS WERE APPROVED AT A MEETING OF THE BOARD OF DIRECTORS
ON DECEMBER 4, 2001 AND ARE ATTACHED

KAISER FOUNDATION HEALTH PLAN OF OHIO

BYLAWS CERTIFICATE

I, the undersigned, Victoria B. Zatzkin, hereby certify that I am the duly elected Assistant Secretary of Kaiser Foundation Health Plan of Ohio, an Ohio nonprofit corporation. I further certify that attached hereto is a true and correct copy of the Bylaws of Kaiser Foundation Health Plan of Ohio, and I do further certify that the attached Bylaws have not been altered, amended or revoked, and are, at the date of this certificate, in full force and effect.

IN WITNESS WHEREOF, the undersigned has hereunto signed her name this 25th day of June, 2002


Victoria B. Zatzkin
Assistant Secretary

The revised bylaws were adopted at the meeting of the Board of Directors on December 4, 2001

AMENDED CODE OF REGULATIONS OF
KAISER FOUNDATION HEALTH PLAN OF OHIO

ARTICLE A

PURPOSES

Section A-1. Principal Purpose.

This corporation exists for the principal purpose of establishing and maintaining a nonprofit comprehensive, predominantly prepaid, direct service health care plan at reasonable cost for members of the public, without regard to sex, race, religion or national origin.

Section A-2. Related Purposes.

This corporation's related purposes are to promote and encourage the advancement and improvement of the nation's health care delivery system, with special emphasis on organizing and providing health care services on a cost-effective basis to participate in activities designed and carried on to promote the community's general health; and, subject to Sections A-3 and A-4, to support such other charitable, scientific, educational and hospital endeavors as the corporation may deem advisable.

Section A-3. Nonprofit Character.

This corporation is a nonprofit corporation and is not organized for the private gain of any person. This corporation is organized for, and its assets are irrevocably dedicated to, public and charitable purposes. The corporation does not and shall not have the power to distribute gains, profits or dividends to its Directors or officers, and no part of its net earnings shall inure to the benefit of any Director or officer of the corporation or to any other individual, but the corporation may compensate Directors and officers for the reasonable value of goods or services that they furnish to the corporation.

Section A-4. Disposition of Assets on Liquidation or
Dissolution.

Upon the corporation's liquidation or dissolution, the Board of Directors shall, after paying or adequately providing for the corporation's liabilities, distribute the corporation's assets to one or more organizations exempt from tax under §501(c)(3) of the Internal Revenue Code of 1986 or any amendment or successor thereto. The corporation's assets may not be distributed so as to inure directly or indirectly to the benefit of any Director or officer of the corporation, or to any other individual, or to any corporation, trust or organization whose net earnings inure to the benefit of any individual.

Section A-5. Non-discrimination.

This corporation, in the operation of its nonprofit comprehensive health care plan and related activities, shall conduct its activities and shall offer the services and benefits of its plan and other activities to all persons equally, without discrimination because of race, color, religion, sex, or national origin, and shall take affirmative action to maintain equality in such matters.

ARTICLE B

OFFICES

Section B-1. Principal Office.

The principal office of this corporation shall be located at the Ordway Building, Kaiser Center, One Kaiser Plaza, Oakland, Alameda County, California. The Board of Directors may change the location of the principal office at any time.

Section B-2. Principal Office in Ohio.

The place in this State where the principal office of the corporation is located is in Cleveland, Cuyahoga County, Ohio.

Section B-3. Other Offices.

This corporation may at any time establish other offices at such place or places as the Board of Directors may designate.

ARTICLE C

MEMBERS

Section C-1. Status of Membership.

Kaiser Foundation Health Plan, Inc., a California nonprofit corporation, is the sole member of this corporation.

Section C-2. Changes in Membership.

Changes in membership may be affected in such manner as the Board of Directors of this corporation, acting with the approval of a majority of the members of this corporation, shall determine.

Section C-3. Termination.

All rights and powers incident to membership shall cease upon termination of membership.

Section C-4. Dues, Assessments and Liabilities.

No member of this corporation shall be subject to any charge for dues or assessments, nor shall any member be in any way liable for any debt, liability or obligation of the corporation.

Section C-5. Meetings.

There shall be an annual meeting of members at the time of the first regular Board meeting each year. Meetings of members shall be governed by Section D-5, relating to meetings of Directors, except that meetings of members shall be held upon at least ten days' notice by first class mail.

Section C-6. Other Action by Members.

A majority of the members may, by written consent, take any action which the members of a Ohio nonprofit corporation are permitted to take without a meeting, and any action so taken shall have the same effect as, and be in all respects as valid as, action taken at a meeting duly held.

Section C-7. Power and Authority of Members.

Members shall have the maximum power and authority provided or permitted to members of Ohio nonprofit corporations under the law of the State of Ohio except that all such powers shall be exercised consistently with, and in furtherance of, the irrevocable dedication of the assets of this corporation to the purposes specified in Article A of these Regulations.

ARTICLE D

Directors

Section D-1. Power and Authority of Directors.

All corporate powers shall be exercised by or under the authority of the Board of Directors, and the Board shall control the business and affairs of the corporation. The Board shall have the maximum power and authority now or hereafter provided or permitted under Ohio law to directors of Ohio nonprofit corporations, acting as a board, except that all such powers shall be exercised consistently with, and in furtherance of, the irrevocable dedication of the assets of this corporation to the purposes specified in the Articles of Incorporation and in these Regulations.

The following powers of the corporation are illustrative only, and shall not be construed as constituting or implying any limitation upon powers exercisable by the Board or the corporation. The corporation shall have power to:

- (a) Commence, conduct and defend legal proceedings;
- (b) Adopt, use and alter a corporate seal,
but failure to affix a seal shall not affect the validity of any act or instrument of the corporation;
- (c) Adopt, amend and repeal Regulations, subject to the power of the members to change or repeal the Regulations, except

that no change may be made by the Directors in the authorized manner of Directors;

(d) Select, remove and prescribe powers, duties and compensation of officers, agents and employees, and require security for faithful service;

(e) Qualify to conduct, and conduct activities anywhere in the world;

(f) Acquire, hold, lease, encumber, convey, exchange, transfer upon trust, or otherwise dispose of real and personal property anywhere in the world, and receive and accept inter vivos or testamentary gifts of real or personal property, or both;

(g) Borrow money, contract debts and issue bonds, debentures, notes or other evidences of indebtedness therefor, and secure the performance of obligations by mortgage or otherwise;

(h) Acquire, subscribe for, hold, own, pledge and otherwise dispose of and represent shares of stock, bonds and securities of any other corporation, domestic or foreign;

(i) Purchase or acquire its own bonds, debentures or other evidences of its indebtedness or obligations;

(j) Make donations for charitable purposes;

(k) Act as director under any trust incidental to the principal objects of the corporation, and receive, hold, administer and expand funds and property subject to such trust;

(l) Participate with others in any partnership, joint venture or other association, transaction or arrangement of any kind, whether or not such participation involves sharing or delegation of control with or to others;

(m) Enter into any contracts, assume any obligations or do any other acts incidental to the conduct of corporate affairs or the attainment of corporate purposes;

(n) Do all other acts necessary or expedient for administration of the affairs and attainment of the purposes of the corporation.

Section D-2. Number.

The number of Directors may be changed at any time by amendment of this Code of Regulations by the member. Until changed by the member, there shall be 14 Directors, one of whom shall be the Chairman of the Board of this corporation who shall serve ex officio and only so long as he or she holds such office, and one of whom shall be a senior officer of this corporation designated by the Chairman of the Board. Each Director, including the ex officio Director and the designated Director, shall be counted for purposes of determining the presence of a quorum and shall have one vote.

Section D-3. Vacancies.

A vacancy shall exist whenever a Director resigns, for any reason becomes unable to serve, is not re-elected as provided in Section D-4, is removed in accord with law, or is removed by the member at a meeting of the member's Board of Directors provided that the notice of the meeting of the member's Board of Directors indicates the purpose of the meeting. Additional vacancies shall arise whenever and to the extent that the number of Directors is increased as provided in Section D-2.

Section D-4. Election and Term of Office.

The twelve Directors other than the Chairman of the Board and the Director designated by the Chairman of the Board shall be divided into three classes of four Directors each. At the first regularly scheduled meeting of the member's Board of Directors in 1994 and each year thereafter, upon the expiration of the term of a class of Directors, Directors of that class shall be elected for a term which shall end at the later of the first regularly scheduled meeting of the member's Board of Directors in the third year following their election or when their successors are elected, except that in any event the term of a Director shall end on December 31 of the year in which he or she attains age 70, and the Chairman of the Board, upon his or her retirement or resignation as Chairman of the Board, shall be precluded from continuing to serve as a Director. Any vacancy may be filled by the member, acting through its Board of Directors or its

Executive Committee. Each Director shall hold office until the end of his or her term, or until he or she shall resign, become unable to serve as a Director, or be removed in accord with Section D-3.

Section D-5. Meetings.

(a) Place of Meetings. Meetings shall be held at such place as the Board of Directors shall designate by resolution or written consent, but unless another place shall be so designated, all meetings shall be held at the principal office.

(b) Call of Meetings. Meetings of the Board of Directors may be called at any time by the Chairman of the Board, or by any two Directors.

(c) Notice. Notice of meetings shall be mailed, telegraphed, or personally delivered to each Director, at his or her usual business address. Meetings shall be held upon at least two days' notice by first class mail. Notice of a meeting need not be given to any Director who signs a waiver of notice or a written consent to holding the meeting or an approval of the minutes thereof whether before or after the meeting, or who attends the meeting without protesting, prior thereto or at its commencement, the lack of notice to such Director.

(d) Proof of Notice. A statement showing service of any notice pursuant to Section D-5(c) may be entered in the minutes of the meeting, and such entry shall be conclusive evidence that

notice was duly given. Any waivers, consents and approvals given in lieu of regular notice shall be entered in the minutes of the meeting.

(e) Quorum. A majority of the Directors then in office shall constitute a quorum for the transaction of business. A meeting at which a quorum is initially present may continue to transact business notwithstanding the withdrawal of Directors, if any action taken is approved by at least a majority of the required quorum for such meeting.

(f) Meetings Without Notice. If all Directors are present at any meeting, or if a quorum is present and all Directors not present either (1) sign a waiver of notice of such meeting, or a consent to the holding thereof, whether prior to or after the meeting, or (2) approve the minutes thereof, the transactions of such meeting shall be as valid as if conducted at a meeting regularly noticed.

(g) Adjourned Meetings. A majority of the Directors present at any meeting, although less than a quorum, may adjourn the meeting from time to time, without further notice, until a quorum shall attend.

Section D-6. Action Without a Meeting.

Any action required or permitted to be taken by the Board of Directors may be taken without a meeting if all members of the Board individually or collectively consent in writing to such

action. Such action by written consent shall have the same force and effect as a unanimous vote of the Directors. Such written consent or consents shall be filed with the minutes of the proceedings of the Board.

ARTICLE E

OFFICERS

Section E-1. Officers.

The officers of the corporation shall be a Chairman of the Board, a President, one or more Executive or Senior Vice Presidents, a Secretary, a Chief Financial Officer, a Controller, a Treasurer and one or more Assistant Secretaries. These shall be the only officers of the corporation. The Chairman of the Board or the President of Kaiser Foundation Health Plan, Inc. may assign such other titles as may be appropriate to other individuals, including the title of Vice President, but such other individuals shall not be corporate officers. Only the Chairman of the Board must be a Director. One person may hold two or more offices, except that the same person may not be both President and Secretary.

Section E-2. Election or Appointment and Term of Office.

Each officer shall be elected by the Board of Directors at the first regular Board meeting each year or at any other meeting of the Board for a term of office which shall end at the first Board meeting the following year, or for such other term as the Board of Directors may specify, or until he or she shall resign or is not re-elected as provided in this section.

Section E-3. Subordinate Officials.

The Chairman of the Board or President may appoint or delegate authority to appoint such other officials as the needs of the corporation may require, each of whom shall hold office for such period, have such authority and perform such duties as the appointing officer, pursuant to authority conferred by the Board of Directors, may from time to time determine. These officials shall not be officers of the corporation. Such officials holding the title of Vice President shall have authority to sign contracts and other documents on behalf of the corporation.

Section E-4. Removal and Resignation.

Any officer may be removed, at any time, either with or without cause, by the Board of Directors. Except for officers chosen directly by the Board of Directors, any officer may be removed, either with or without cause, by any officer authorized to appoint such officer, or by any officer upon whom such power of removal may be conferred by the Board of Directors.

Any officer may resign at any time by giving written notice to the Board of Directors or to the President or to the Secretary. Any such resignation shall take effect upon receipt of such notice, or at any later time specified therein; unless otherwise specified therein, a resignation shall be effective without express acceptance.

Section E-5. Vacancies.

A vacancy in any office because of death, resignation, removal, inability or disqualification to serve, or otherwise, shall be filled in the manner provided in the Bylaws for regular election or appointment to such office.

Section E-6. Chairman of the Board.

The Chairman of the Board shall be the Chief Executive Officer of the corporation and shall preside at all meetings of the Board of Directors and shall have such other powers and duties as the Board of Directors shall designate or the Bylaws may provide.

Section E-7. President.

Subject to the direction of the Board of Directors and the Chairman of the Board, the President shall have the general powers and duties of management usually vested in the office of President of a corporation as well as such other powers and duties as the Board of Directors shall designate or as the Bylaws may provide. If there is not a Chairman of the Board of Directors in office and then acting, or if the Chairman of the Board is absent or disabled, the President shall perform all of the duties of the Chairman of the Board, and when so acting shall have the powers of, and be subject to the restrictions upon, the Chairman of the Board, as prescribed in the Bylaws or by the

Board of Directors.

The President shall have such other powers and perform such other duties as the Board of Directors shall designate or as the Bylaws may provide.

Section E-8. Executive or Senior Vice President.

Each Executive or Senior Vice President shall assist the President in the performance of his or her duties. In the absence or disability of the President, the Executive Vice President, if one is designated by the Board, or in the absence of such designation, the Senior Vice Presidents in order of their rank as fixed by the Board of Directors, shall perform the duties of the President, and when so acting, shall have all the powers of, and be subject to all restrictions upon, the President. Each Executive or Senior Vice President shall have such other powers and duties as the Board of Directors shall designate or as the Bylaws may provide.

Section E-9. Secretary.

The Secretary shall be responsible for keeping a book of minutes at One Kaiser Plaza, Oakland, California or at such other place as the Board of Directors shall designate, of all meetings and all formal actions of the Board of Directors. Minutes of meetings shall reflect: the time and place of the meetings; whether they were held pursuant to notice, waiver, or consent; if

they were held pursuant to notice, the notice given; the names of persons present; the business transacted, and such other matters as the Board of Directors shall designate.

The Secretary shall be responsible for giving notice of meetings as required by the Bylaws. He or she shall maintain safe custody of the seal, shall make certificates and authenticate documents reflecting actions of the corporation as may be required or desirable, and shall have such other powers and perform such other duties as the Board of Directors shall designate or as the Bylaws may provide. Subject to the supervision of the Secretary, any Assistant Secretary may perform all or any part of the duties of the Secretary.

Section E-10. Chief Financial Officer.

The Chief Financial Officer shall supervise banking relations, including the handling, depositing and disbursing of all funds. The Chief Financial Officer shall render to the President and to the Board of Directors, on request, an account of his or her transactions as Chief Financial Officer and of the financial condition of the corporation. The Chief Financial Officer shall have such other powers and perform such other duties as the Board of Directors shall designate or as the Bylaws may provide. Subject to the supervision of the Chief Financial Officer, any other official may perform all or any part of the duties of the Chief Financial Officer.

ARTICLE F
COMMITTEES

Section F-1. Provision for Committees.

The corporation shall have an Executive Committee and such other committees as the Board of Directors may appoint to advise and assist the Board of Directors in managing the corporation's affairs.

Section F-2. Action Without a Meeting.

(a) By Unanimous Consent. Any action required or permitted to be taken by a committee of the Board of Directors may be taken without a meeting if all members of the committee individually or collectively consent in writing to such action. Such action by written consent shall have the same force and effect as a unanimous vote of the committee. Such written consent or consents shall be filed with the minutes of the proceedings of the committee.

(b) By Telephone. Meetings of committees may be held by telephone. A Director may participate in a meeting of a committee by means of a conference telephone or similar communication equipment enabling all Directors participating in the meeting to hear on

another at the same time; participation in such a meeting shall constitute presence in person at such meeting.

Section F-3. Executive Committee.

(a) Composition. The Executive Committee shall consist of six (6) Directors, who shall be selected by the Board of Directors, and who shall continue as members of the Executive Committee at the pleasure of the Board.

(b) Authority and Duties. The Executive Committee shall have authority to act for the Board of Directors between Board meetings. Unless otherwise provided by law, the Board of Directors, the Articles of Incorporation, or the Regulations, any action taken by the Executive Committee shall have the same force and effect as though taken by a majority of Directors present at a meeting of the Board duly called and held pursuant to the Regulations, except that the Executive Committee shall have no authority to: (1) Fill vacancies on the Board or the Executive Committee; (2) Fix the compensation of directors for serving on the Board or any committee; (3) Adopt, amend or repeal Regulations; (4) Amend or repeal any resolution of the Board which by its express terms is not so amendable or repealable; (5) Appoint committees of the Board or appoint the members thereof; (6) Change roles, titles or employment status of corporate officers; or (7) Approve any aspect of a transaction involving the corporation when a Director has a material financial interest

in that transaction, except as expressly provided by law.

(c) Conduct of Business. A quorum of the Executive Committee shall consist of three (3) committee members. The Executive Committee shall report to the Board of Directors regarding its actions and decisions.

Section F-4. Other Committees.

The Board of Directors may establish such other committees, of such composition and with such duties, authority and manner of conducting business, as the Board may from time to time deem advisable. Each such committee shall consist of three or more Directors, who shall be selected by the Board of Directors.

ARTICLE G
REGIONAL ADVISORY BOARD

Section G-1. Membership.

The corporation shall have a Regional Advisory Board ("Advisory Board"), consisting of Permanent Participants and Regular Participants. The Permanent Participants, comprising the permanent ex-officio membership of the Advisory Board, shall be the corporation's President (who shall be the Chairman of the Advisory Board), the corporation's Health Plan Manager, and the Executive Medical Director of the Ohio Permanente Medical Group, an Ohio partnership of physicians, or any corporate successor thereto ("Medical Group"). The Regular Participants shall consist of up to twenty-five (25) individuals selected by the Permanent Participants. The individuals so selected shall, to the extent possible, be representative of a cross section of the membership enrolled in this corporation's Health Plan ("enrollees") although one or more individuals may be designated because of their special knowledge of group practice prepayment plans. Each Regular Participant shall serve an initial term expiring December 31st of the year in which selected, and the term of a Regular Participant may be renewed annually each December 31st without any limit on the number of terms served.

Section G-2. Functions.

The functions of the Advisory Board shall be to consider (and through the Chairman to report periodically to this corporation's Board of Directors upon) matters such as benefits for enrollees, accessibility and availability of services to enrollees, the extent of and other matters relating to said enrollment, plans for additional facilities to serve enrollees, and actual or proposed federal, state and local legislation affecting the Kaiser-Permanente Medical Care Program and having an impact on enrollees, as well as such related subjects as from time to time the Advisory Board may find it appropriate to consider.

Section G-3. Meetings.

The Advisory Board will meet on the call of the Chairman as often as is deemed necessary and appropriate but in no event less often than annually, and the person calling the meeting shall invite thereto such representatives of this corporation, the Medical Group, Health Plan, Kaiser Foundation Hospitals (a California nonprofit corporation) and such other representatives as the Chairman considers appropriate.

ARTICLE H

INDEMNIFICATION OF DIRECTORS, OFFICERS, EMPLOYEES, AND OTHER AGENTS

Section H-1. Definitions.

As used in this Article:

(a) "agent" means any person who is or was a Director, officer, employee, volunteer or other agent of this corporation, or who is or was serving at the request of this corporation as a director, director, officer, employee, volunteer or agent of another foreign or domestic corporation, partnership, joint venture, trust or other enterprise, or who was a director, officer, employee, volunteer or agent of a foreign or domestic corporation which was a predecessor corporation of this corporation or of another enterprise at the request of the predecessor corporation;

(b) "proceeding" means any threatened, pending, or completed action, suit or proceeding, whether civil, criminal, administrative, or investigative;

(c) "special proceeding" means an action by or in the right of this corporation to procure a judgment in its favor;

(d) "expenses" includes attorneys' fees and any expenses of establishing a right to indemnification.

Section H-2. Agent Successful on the Merits.

To the extent that an agent of this corporation has been successful on the merits or otherwise in the defense of any proceeding or special proceeding by reason of the fact that the agent is or was an agent of the corporation, or in defense of any claim, issue, or matter therein, this corporation shall indemnify the agent against expenses actually and reasonably incurred by the agent in connection therewith.

Section H-3. Proceedings Other Than Special Proceedings When Agent Not Successful on the Merits.

Upon making the determination required by Section H-5, and subject to Section H-7, this corporation shall indemnify any agent who was or is a party or is threatened to be made a party to any threatened, pending or completed proceeding other than a special proceeding by reason of the fact that the agent is or was an agent of the corporation, against expenses, judgments, fines, settlements and other amounts actually and reasonably incurred in connection with any such proceeding, if the agent acted in good faith and in a manner the agent reasonably believed to be in or not opposed to the best interests of this corporation and, with respect to any criminal proceeding, the agent had no reasonable cause to believe his or her conduct was unlawful. The termination of any proceeding by judgment, order, settlement,

conviction or upon a plea of nolo contendere or its equivalent shall not, of itself, create a presumption that the agent did not act in good faith and in a manner which the agent reasonably believed to be in or not opposed to the best interests of this corporation, and with respect to any criminal proceeding, that the agent had reasonable cause to believe that his or her conduct was unlawful.

Section H-4. Special Proceedings When Agent Not Successful on the Merits.

Upon making the determination required by Section H-5, and subject to Section H-7, this corporation shall indemnify any agent who was or is a party or is threatened to be made a party to any threatened, pending or completed special proceeding by reason of the fact that the agent is or was an agent of this corporation, against expenses actually and reasonably incurred by the agent in connection with the defense or settlement of such a proceeding if the agent acted in good faith, and in a manner the agent believed to be in or not opposed to the best interests of the corporation.

No indemnification shall be made under this section with respect to any action or suit in which liability is asserted against the agent pursuant to §1702.12(E)(2)(b) and §1702.55 of the Ohio Revised Code, or with respect to any claim, issue or

matter as to which the agent shall have been adjudged to be liable for negligence or misconduct in the performance of the agent's duty to this corporation, unless and only to the extent that the Court of Common Pleas or the court in which such proceeding is or was brought shall determine upon application that, despite the adjudication of liability but in view of all the circumstances of the case, the agent is fairly and reasonably entitled to indemnity for such expenses as the court shall deem proper.

Section H-5. Authorization of Indemnification When Agent Not Successful on the Merits.

Any indemnification under Section H-3 or H-4, unless ordered by a court, shall be made by this corporation only upon a determination that indemnification of the agent is proper in the circumstances because the agent has met the applicable standard of conduct set forth in Section H-3 or H-4, by:

(a) A majority vote of a quorum consisting of Directors who were not and are not parties to or threatened with any such proceeding; or

(b) If such a quorum is not obtainable, or if a majority of a quorum of disinterested Directors so directs, in a written opinion by independent legal counsel other than an attorney, or a firm having associated with it an attorney, who has been retained

by, or who has performed services for, the corporation or any person to be indemnified within the past five years; or

(c) By the members; or

(d) By the Court of Common Pleas or the court in which such proceeding was brought.

The corporation shall promptly communicate any determination made pursuant to subsection (a) or (b) of this section to the person who threatened or brought the action if such action is by or in the right of the corporation.

Section H-6. Advance of Expenses.

Expenses incurred in defending any proceeding may be advanced by this corporation before the final disposition of the proceeding upon receipt of an undertaking satisfactory in form and amount to the Board of Directors by or on behalf of the agent to repay the amount of the advance unless it is determined ultimately that the agent is entitled to be indemnified as authorized in this Article.

Section H-7. Other Limitations on Indemnification.

Nothing in this Article shall affect any other right to indemnification to which an agent may be entitled by contract or otherwise, both as to action in his or her official capacity and as to action in another capacity while holding such office.

Section H-8. Indemnification to the Full Extent of Law.

Notwithstanding the above provisions, the corporation shall indemnify agents to the fullest extent permitted by law.

Section H-9. Insurance.

This corporation shall have the power to purchase and maintain insurance or furnish similar protection, including, but not limited to trust funds, letters of credit, or self insurance, for or on behalf of any agent against any liability asserted against and incurred by the agent in such capacity or arising out of the agent's status as such, whether or not the corporation would have the power to indemnify the agent against such liability under the provisions of this Article.

ARTICLE I
MISCELLANEOUS

Section I-1. Inspection of Corporate Records.

The books of account, minute book and records of committee actions and proceedings shall be open to inspection upon written demand by any Director or member at any reasonable time and for any purpose reasonably related to his or her interests as a Director or member. Such inspection may be made in person, or by any agent or attorney designated by the Director or member, and shall include the right to make extracts and copies. Demands for inspection may be presented to the Board of Directors at any meeting, or to the President or Secretary, or if such demand relates to the books of account, to the Controller. Each such demand may be granted by the officer to whom it is presented, but unless so granted, shall be referred by such officer to the Board of Directors.

Section I-2. Execution or Endorsement of Checks.

All checks, drafts or other orders for payment of money, and notes or other evidences of indebtedness issued in the name of or payable to the corporation shall be signed or endorsed by such person or persons, and in such manner, as the Board of Directors shall from time to time by resolution determine.

Section I-3. Execution of Contracts.

The Board of Directors may authorize any officer or officers and any agent or agents to enter into any contract or execute any instrument in the name of, and on behalf of, the corporation, and such authority may be general or limited to specified instances.

No officer, agent or employee shall have any power or authority to bind or obligate the corporation by any commitment, contract or engagement, or to pledge its credit or render it liable for any purpose or in any amount unless duly authorized by the Board of Directors.

Section I-4. Regulations, Minutes and Membership Records.

The original or a certified copy of the Regulations, together with all amendments thereto, and the minute book shall be kept at the principal office of the corporation and shall be subject to inspection as provided in Section H-1.

Section I-5. Representation of Shares of Other Corporations.

The President or any Vice President, acting together with the Secretary or any Assistant Secretary of this corporation, are authorized to vote, represent and exercise on behalf of this corporation all rights incident to any and all shares of stock of any other corporation or corporations which may be owned by or stand in the name of this corporation, and such authority may be exercised by such officers in person or by any person authorized

by proxy or power of attorney duly executed by such officers.

Section I-6. Fiscal Year.

The fiscal year of this corporation shall be the calendar year.

Section I-7. Annual Report.

No annual report shall be required in connection with the activities of the corporation except as required by the laws of Ohio.

ARTICLE J
AMENDMENT AND EFFECT OF REGULATIONS

Section J-1. Previous Regulations Superseded.

These amended Regulations supersede the previous Regulations of this corporation and all amendments thereto

Section J-2. Effect of Regulations.

These Regulations are in all respects subordinate to, and shall be controlled by, applicable provisions of the laws of the State of Ohio, other applicable laws, and the Articles of Incorporation of this corporation. Except as these Regulations may be inconsistent with said laws and Articles, they shall regulate the conduct of the business and affairs of this corporation with respect to all matters to which they relate.

Section J-3. Manner of Amendment

(a) In any Legal Way. These Regulations may be amended in any manner now or hereafter provided by the applicable provisions of the laws of the State of Ohio, except as provided in Section J-3(b) of this Article.

(b) By Directors. These Regulations may be amended by a majority vote of the Board of Directors at any meeting, provided a quorum of the Board is present and voting, except that those portions of Article D, Section D-4 of these Regulations regarding

election of Directors may be amended only by the member.



COMMUNITY BENEFIT REPORT
for
KAISER FOUNDATION HEALTH PLAN OF OHIO
FY 2001

For Attachment to the
Internal Revenue Service Form 990
Return of Organization Exempt from Income Tax
(rev 10/01/2002)



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INTRODUCTION

Kaiser Foundation Health Plan of Ohio ("Health Plan") is a nonprofit, federally qualified HMO that is a subsidiary of Kaiser Foundation Health Plan, Inc. Kaiser Foundation Health Plan, Inc., its five principal operating tax exempt subsidiary health plans (Kaiser Foundation Health Plan of Ohio, Kaiser Foundation Health Plan of Colorado, Kaiser Foundation Health Plan of the Mid-Atlantic States, Inc., Kaiser Foundation Health Plan of Georgia, Inc., and Kaiser Foundation Health Plan of the Northwest), and Kaiser Foundation Hospitals ("Hospitals") are nonprofit corporations that are part of the integrated health care delivery system known as the Kaiser Permanente Medical Care Program ("Kaiser Permanente"). Additionally, Kaiser Foundation Health Plan, Inc. has five subsidiary health plans that are no longer operating and will be dissolved because Kaiser Permanente has divested its Northeast, North Carolina and Kansas City Regions (Kaiser Foundation Health Plan of Connecticut, Inc., Kaiser Foundation Health Plan of Kansas City, Inc., Kaiser Foundation Health Plan of North Carolina, CHP Companies, Inc. and Community Health Plan).

Kaiser Permanente is an integrated health care delivery system that in 2001 served over 8 million people in 11 states (California, Colorado, Georgia, Hawaii, Kansas, Maryland, Missouri, Ohio, Oregon, Virginia and Washington) and the District of Columbia. It is the largest private non-profit health care program in the United States with over 120,000 employees and over 11,000 full-time equivalent contracting physicians. In Ohio during 2001, Health Plan served over 199,000 people, approximately 2,000 employees and more than 282 full-time equivalent contracting physicians.

In December 1996, the Boards of Directors of Kaiser Foundation Health Plan, Inc. and of Hospitals approved the Direct Community Benefit Investment (DCBI) Policy to assist Kaiser Foundation Health Plan, Inc., its tax-exempt subsidiaries, and Hospitals achieve our collective social purpose to improve the health of our members and the communities we serve. The Direct Community Benefit Investment Policy is as follows:

"Each year, we will devote 3% of revenue, but no more than 50% of net income based on a rolling multi-year average, as our national goal for direct community benefit investments. The "50% of net income" standard will be implemented subject to management discretion.

Based upon the needs of the communities we serve and our ability to impact these needs, we will focus our investments on three overlapping areas of priority:

- Improving the health of children*
- Improving the health of the uninsured through subsidized coverage or care*
- Advancing medical knowledge through clinical and health services research*

This report describes the structure of Kaiser Permanente and documents the community benefit provided by Health Plan in Ohio, as well as the national community benefit activities of Kaiser Foundation Health Plan, Inc. and its other subsidiary health plans and Hospitals.

KAISER PERMANENTE

Kaiser Permanente is not just a financial arrangement. It is an integrated health care delivery system that combines the provision and financing of health care services. People who elect to enroll in Kaiser Permanente receive a full range of prepaid health care services, including hospital care, professional care in hospitals and physicians' offices, X-ray and laboratory services, physical therapy, emergency ambulance service, preventive services, health education and prescribed drugs.

In Ohio, Kaiser Permanente is conducted by three separate legal organizations: Health Plan, an Ohio public benefit corporation, which is a federally qualified HMO exempt from federal income tax under Internal Revenue Code §501(c)(3), Hospitals, a California nonprofit public benefit corporation exempt from federal income tax under Internal Revenue Code §501(c)(3), and Ohio Permanente Medical Group ("Permanente Medical Group"), an independent multi-specialty group of physicians organized as a professional corporation of physicians.

Persons enroll in Kaiser Permanente through Health Plan through a group or individual contract under which Health Plan undertakes to arrange comprehensive health care services for members on a predominantly prepaid basis. Health Plan fulfills its contractual obligations to members by contracting with Hospitals and the Permanente Medical Group to provide the required health care services.

Members receive physician services from the Permanente Medical Group. The Permanente Medical Group accepts responsibility for professional care of Health Plan members, is responsible for their own physician recruitment, selection and staffing, and is independent from Health Plan and Hospitals. The Permanente Medical Group treats members in facilities owned by the Health Plan.

Hospitals and Health Plan are separate corporations governed by identical Boards of Directors. Hospitals accepts responsibility to provide or arrange necessary hospital services and facilities for Health Plan members. Hospitals contracts with community hospitals, such as Cleveland Clinic Foundation, Lake Hospital Systems, Fairview General Hospital, Summa Health Systems, Metro Health Hospital, Akron Children's Hospital, Mercy Medical Center, Laurelwood Hospital, Amherst Hospital, and EMH Regional Medical Center to provide services to Health Plan members.

Membership in Health Plan is available without regard to sex, race, religion, ethnic background, sexual orientation, occupational status or income level. Health Plan members are broadly representative of the various age, social and income groups within the areas it serves. Once enrolled, a member is free to maintain membership, irrespective of age, health status or employment.

COMMUNITY BENEFIT PROVIDED BY HEALTH PLAN

Health Plan's primary social mission is the organization and provision of comprehensive health care services on a prepaid basis through an integrated health care delivery system, available to the community as a whole. Because Health Plan is a nonprofit organization, revenues that exceed the cost of operations and the provision of care are used to benefit the members through improved facilities and service, increased benefits and affordable rates rather than to paying dividends to stockholders. Providing low-cost, high quality, comprehensive health benefits coverage and care that emphasizes prevention helps to prevent medical indigence and contributes to the quality of life in the communities we serve. Health Plan has incorporated the following elements that support and facilitate the attainment of its social mission into its operations:

- **Integrated services and facilities** – Health Plan has organized and integrated the professional and physical resources required to provide comprehensive health care. This primarily occurs at medical office buildings owned or leased by Health Plan. Our members typically have available in one place all the services and professional care they require, which facilitates a coordinated approach to care. Equipment and supporting personnel are shared and high technology services such as neurosurgery, open heart surgery and cancer treatment centers are centralized, facilitating the development and transfer of best clinical practices among all Permanente providers. Duplication of facilities, equipment and personnel are avoided or minimized.
- **Group practice** – The Permanente Medical Group is organized into a large multi-specialty group practice that takes responsibility for providing comprehensive care to a defined population in facilities owned by Health Plan. The Permanente Medical Group and Health Plan exclusively contract with each other to provide or arrange for medical services to Health Plan members. Group practice enhances the quality and appropriateness of care for members and for the community by facilitating the development and sharing of “best clinical practices” throughout the community and across the nation. The income that the Permanente Medical Group and its physicians receive is solely in consideration of their professional medical and related services. The amounts paid to the Permanente Medical Group is negotiated annually at arms’ length. By altering the straight-line relationship between service performed and income received, Health Plan removes incentives to perform unneeded services, encouraging use of the most appropriate services.
- **Prepayment** – Health Plan pays the Permanente Medical Group a per capita payment that does not vary with the amount of service provided. Permanente Medical Group physicians are not compensated on a fee-for-service basis.
- **Comprehensive Benefits** – Health Plan provides coverage for unlimited hospital days, physician visits, preventive services, immunizations, well-baby care and prenatal care. Enrollees pay limited copayments, which protect members from substantial out-of-pocket costs. Comprehensive, prepaid coverage removes or minimizes financial barriers to care promoting early consultation, detection and treatment of disease. Health Plan actively encourages members to maintain their health through regular preventive care services such as well-baby check-ups, immunizations and screening tests. This reduces uncompensated care and prevents medical indigence by encouraging and financing preventive medical care at the most effective and appropriate level.

- **No Pre-Existing Condition Exclusions** – Pre-existing condition exclusions allow carriers to exclude from coverage care for a condition that preceded enrollment with the carrier. Health Plan imposes no pre-existing condition exclusions and thereby provides substantial protection for new members who are ill at the time of enrollment. Health Plan offered health benefits coverage in all its markets without any pre-existing condition exclusions for many years prior to recently enacted federal and state statutes prohibiting pre-existing condition exclusions in certain markets. By ensuring that all our enrollees are covered for all their medical needs, we reduce the amount of uncompensated care, promote the health of our members, and prevent medical indigence.
- **Participation in Medicare** – Nationally, Kaiser Permanente has participated in Medicare since it was first implemented in 1965. In Ohio, Health Plan has participated since 1986. In 2001, Health Plan enrolled approximately 29,712 Medicare beneficiaries in Ohio through Medicare+Choice Contracts, which provide all Medicare Part A and Part B services, plus additional drug, optical, physicals and hearing benefits.

COMMUNITY BENEFIT PROGRAM

In addition to the above, Kaiser Permanente has a wide variety of community benefit programs, some of which are allocable to Kaiser Foundation Health Plan, Inc. and its subsidiaries, some to Hospitals. This report highlights examples of Kaiser Permanente's national community benefit programs as well as the community benefit activities of Health Plan and Hospitals in Ohio. Consistent with our DCBI Policy, based upon the needs of the communities we serve and our ability to impact these needs, Kaiser Permanente is focusing its investments on three overlapping areas of priority:

- Improving the health of children
- Improving the health of the uninsured through subsidized coverage or care
- Advancing medical knowledge through clinical and health services research

Community benefit programs, while consistent in nature, will differ in amount and scope from year to year, based on the resources and objectives of Kaiser Permanente nationally and locally.

In 2001, Health Plan and Hospitals spent approximately \$349 million, or approximately 1.7% of revenue, on Direct Community Benefit Investment activities across the nation in the communities we serve. In Ohio, Health Plan spent approximately \$1,146,000 on Direct Community Benefit Investment activities in 2001.

The following charts summarize 2001 DCBI expenditures nationally and in Ohio. The expenditures reflected in the charts are unaudited estimates calculated in accordance with Kaiser Permanente's DCBI Accounting Policy.

2001 DCBI Expenditures for National Program

DCBI CATEGORY	NATIONAL DCBI TOTAL	NATIONAL HOSPITALS TOTAL	NATIONAL HEALTH PLANS TOTAL
Dues Subsidy	\$36,540,132	\$8,177,106	\$28,363,026
Medicaid Shortfalls	\$201,204,002	\$62,618,916	\$138,585,086
Other Publicly Subsidized Programs	\$1,662,171	\$396,207	\$1,265,964
Charitable Care	\$15,121,244	\$10,621,244	\$4,500,000
Graduate Medical Education	\$27,233,578	\$26,514,928	\$718,650
Health Professional Training Programs (non-MD)	\$5,453,665	\$5,097,995	\$355,670
Continuing Medical Education	\$7,133,586	\$7,103,928	\$29,658
Health Education Activities	\$2,180,439	\$1,531,990	\$648,449
Community Health Care Services	\$5,235,651	\$5,143,439	\$92,212
Educational Symposiums	\$838,914	\$838,914	0
Grants\Donations – Health Care	\$10,481,301	\$8,765,412	\$1,715,889
Grants\Donations – Non Health Care	\$270,912	\$85,325	\$185,587
Medical Research	\$9,013,299	\$7,810,128	\$1,203,171
Medical Libraries	\$6,296,969	\$6,296,969	0
Tumor Board & Registries	\$3,611,978	\$3,438,578	\$173,400
Educational Theatre	\$4,971,712	\$4,013,560	\$958,152
Youth\Other Employment Programs	\$1,504,288	\$1,401,948	\$102,340
Board of Directors Fund	\$887,233	\$887,233	0
Other Community Service	\$3,230,520	0	\$3,230,520
TOTAL	\$342,871,594	\$160,743,820	\$182,127,774

2001 DCBI Expenditures in Ohio

DCBI CATEGORY	OHIO- REGION TOTAL	HOSPITALS OHIO REGION TOTAL	HEALTH PLAN OHIO REGION TOTAL
Dues Subsidy	\$375,249	0	\$375,249
Health Education Activities	\$4,201	0	\$4,201
Grants/Donations – Health Care	\$129,081	0	\$129,081
Grants/Donations – Non Health Care	\$14,911	0	\$14,911
Medical Libraries	\$81,536	0	\$81,536
Educational Theatre	\$454,907	0	\$454,907
Youth and other employment programs	\$13,381	0	\$13,381
Other Community Service Activities	\$72,988	0	\$72,988
TOTAL	\$1,146,254	0	\$1,146,254

HIGHLIGHTS OF COMMUNITY BENEFIT PROGRAM IN OHIO

The following are some highlights of the 2001 DCBI Program in Ohio

Dues Subsidy Program

Health Plan and Hospitals spent approximately \$375,000 to provide subsidized coverage to low-income persons who are not eligible for other public or privately funded coverage in Ohio. The Dues Subsidy Program is aimed at Health Plan members who lost their coverage due to a sudden financial crisis such as job loss, involuntary reduction in work hours, death, divorce, or legal separation from a spouse.

Educational Theatre

Health Plan spent approximately \$455,000 in 2001 to produce the Educational Theatre Program ("ETP"). ETP has been performing award-winning health education plays for youth for more than 14 years in Ohio. All productions are free of charge or offered at a nominal fee to students in kindergarten through twelfth grade, their parents and teachers, and the broader community. Educational Theatre presented five different age-appropriate productions, including "Professor Bodywise's Traveling Menagerie", an award-winning production presented in elementary schools, "The Kaiser Clown Family", performing in day care centers during the summer months, "RAVES" ("Real Alternatives to Violence for Every Student"), promoting an understanding of conflict anger management to students, "Secrets," an HIV/AIDS awareness play for middle school and high school students, and "Ready or Not," aimed at addressing the fears and prejudice about growing older. With funding provided by the Ohio Department of Health, the Ohio Region

has produced a new work untitled *Unfinished Business*. The play promotes organ donation and tours university campuses and local businesses throughout the state. Additionally, in the Ohio Region, Educational Theatre works closely with the Ohio Permanente Medical Group to improve communications and interpersonal skills so that interaction with members is enhanced.

Health Education Activities

Health Plan in Ohio spent over \$4,200 to provide general public education activities on health care issues. These include participation in health fairs, health education classes and other educational seminars aimed at non-health care professionals and open to the general public.

Grants and Donations for Health Care Related Activities

In Ohio, Health Plan gave over \$129,000 in health care related grants and donations in the communities they serve.

Grants and Donations Unrelated to Health Care

In Ohio, Health Plan gave nearly \$15,000 to community organizations for purposes not directly related to health care. These include donations to schools for general educational support, the local United Way, the Fall Charity Campaign to support local food banks, and contributions to local charities in support of community enhancement activities.

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No 1545-1709

▶ File a separate application for each return

• If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box ☒

• If you are filing for an Additional (not automatic) 3-Month Extension, complete only Part II (on page 2 of this form)

Note: Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.**Part I Automatic 3-Month Extension of Time** — Only submit original (no copies needed)**Note: Form 990-T corporations requesting an automatic 6-month extension — check this box and complete Part I only** ☐**All other corporations (including Form 990-C filers) must use Form 7004 to request an extension of time to file income tax returns. Partnerships, REMICs and trusts must use Form 8736 to request an extension of time to file Form 1065, 1066, or 1041**

Type or print File by the due date for filing your return. See instructions	Name of Exempt Organization	Employer identification number
	KAISER FOUNDATION HEALTH PLAN OF OHIO	34-0922268
	Number, street, and room or suite no. If a PO box, see instructions	
	ONE KAISER PLAZA, 1550 ORDWAY	
	City, town or post office, state, and ZIP code. For a foreign address see instructions	
	OAKLAND CA 94612	

Check type of return to be filed (file a separate application for each return)

- | | | |
|----------------------------------------------|------------------------------------------------------------------|------------------------------------|
| <input checked="" type="checkbox"/> Form 990 | <input type="checkbox"/> Form 990-T (corporation) | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL | <input type="checkbox"/> Form 990-T (sec 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ | <input type="checkbox"/> Form 990-T (trust other than above) | <input type="checkbox"/> Form 6069 |
| <input type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A | <input type="checkbox"/> Form 8870 |

• If the organization does not have an office or place of business in the United States, check this box ☐• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) NA. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover

- 1 I request an automatic 3-month (6-month, for 990-T corporation) extension of time until AUGUST 15, 20 02, to file the exempt organization return for the organization named above. The extension is for the organization's return for
- ▶ ☒ calendar year 20 01 or
- ▶ ☐ tax year beginning _____, 20 ____ and ending _____, 20 ____

- 2 If this tax year is for less than 12 months, check reason
- ☐
- Initial return
- ☐
- Final return
- ☐
- Change in accounting period

- 3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions \$
- 0

- b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit \$ _____

- c
- Balance Due.**
- Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions \$
- 0.00

Signature and Verification

Under penalties of perjury I declare that I have examined this form including accompanying schedules and statements and to the best of my knowledge and belief it is true correct, and complete and that I am authorized to prepare this form.

Signature ▶ [Signature]

Title ▶ VICE PRESIDENT/CONTROLLER

Date ▶ 5/ 8 /2002

For Paperwork Reduction Act Notice, see Instruction

Form **8868** (12-2000)

- If you are filing for an Additional (not automatic) 3-Month Extension, complete only Part II and check this box ☒ **Note: Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868**
- If you are filing for an Automatic 3-Month Extension, complete only Part I (on page 1)

Part II Additional (not automatic) 3-Month Extension of Time — Must File Original and One Copy.

Type or print File by the extended due date for filing the return See instructions	Name of Exempt Organization KAISER FOUNDATION HEALTH PLAN OF OHIO	Employer identification number 34-0922268
	Number, street, and room or suite no. If a P.O. box see instructions ONE KAISER PLAZA, 1550 ORDWAY	For IRS use only
	City, town or post office, state and ZIP code For a foreign address see instructions OAKLAND CA 94612	

Check type of return to be filed (File a separate application for each return)

- ☒ Form 990 ☐ Form 990-EZ ☐ Form 990-T (sec 401(a) or 408(a) trust) ☐ Form 1041-A ☐ Form 5227 ☐ Form 8870
- ☐ Form 990-BL ☐ Form 990-PF ☐ Form 990-T (trust other than above) ☐ Form 4720 ☐ Form 6069

STOP Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 4 I request an additional 3-month extension of time until NOVEMBER 15, 2002
- 5 For calendar year 2001, or other tax year beginning _____, 20____ and ending _____, 20____
- 6 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period
- 7 State in detail why you need the extension THIS ENTITY IS A MEMBER OF AN AFFILIATED GROUP COMPRISING A NATIONAL MANAGED HEALTH CARE DELIVERY PROGRAM AND IT MUST DEFER RETURN FILING UNTIL DATA FOR EACH MEMBER'S RETURN IS COMPLETE.
- 8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions \$ NONE
- b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868 \$ NONE
- c **Balance Due.** Subtract line 8b from line 8a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions \$ 0

Signature and Verification

Under penalties of perjury I declare that I have examined this form including accompanying schedules and statements and to the best of my knowledge and belief it is true correct, and complete and that I am authorized to prepare this form

Signature Deborah S. Storer Title VICE PRESIDENT/CONTROLLER Date 7/29/2002

Notice to Applicant — To Be Completed by the IRS

- ☒ We have approved this application Please attach this form to the organization's return
- ☐ We have not approved this application However, we have granted a 10-day grace period from the later of the date shown below or the due date of the organization's return (including any prior extensions) This grace period is considered to be a valid extension of time for elections otherwise required to be made on a timely return Please attach this form to the organization's return
- ☐ We have not approved this application After considering the reasons stated in item 7 we cannot grant your request for an extension of time to file We are not granting a 10-day grace period
- ☐ We cannot consider this application because it was filed after the due date of the return for which an extension was requested
- ☐ Other _____

Director _____ By _____ Date _____

Alternate Mailing Address — Enter the address if you want the copy of this application for an additional 3-month extension returned to an address different than the one entered above

Type or print	Name	EXTENSION APPROVED AUG 13 2002 LINDA WEISKOPF, FIELD DIRECTOR, SUBMISSION PROCESSING OGDEN
	Number and street (include suite, room, or apt. no.) Or a P.O. box number	
	City or town, province or state, and country (including postal or ZIP code)	